

## Microbial cellulases immobilized in biopolymer/silica matrices used as enzyme release systems

Monica Dragomirescu<sup>1</sup>, Teodor Vintila<sup>1</sup>, Titus Vlase<sup>2</sup>, Ciprian-Valentin Mihali<sup>3,4</sup> and Gabriela Preda<sup>2</sup>✉

<sup>1</sup>Department of Biotechnologies, Bioengineering Faculty of Animal Resources, Banat's University of Agricultural Science and Veterinary Medicine "King Michael I of Romania" from Timișoara, Timișoara, Romania; <sup>2</sup>Department of Biology-Chemistry, Faculty of Chemistry, Biology, Geography, West University of Timișoara, Timișoara, Romania; <sup>3</sup>Department of Experimental and Applied Biology, Institute of Life Sciences, Vasile Goldis Western University of Arad, Arad, Romania; <sup>4</sup>Molecular Biology Laboratory, Research and Development Station for Bovine, Arad, Romania

*Trichoderma viride* CMGB 1 cellulases were immobilized by entrapment in silica gels (by the sol-gel method), alginate biopolymers and hybrid alginate/silica materials. Tetramethoxysilane (TMOS), tetraethoxysilane (TEOS) and tetrakis (2-hydroxyethyl) orthosilicate (THEOS) were used as organoalkoxysilane precursors, and ethanol or ethylene glycol (EG) as cosolvents in a two-step sol-gel synthesis. Combined alginate/silica matrices were obtained by mixing the silica sol with sodium alginate or by coating alginate beads with a silica shell. A partial confinement of ethylene glycol in the matrix and its consequences on biocatalytic activity were investigated using scanning electron microscopy-Energy Dispersive X-Ray Analysis (SEM-EDAX), Fourier-transform infrared spectroscopy (FT-IR). The efficiency of the enzyme-matrix biomaterials was tested in controlled enzyme release experiments. The sol-gel method developed using EG as a co-solvent allowed cellulase immobilization yields that were 1.5–4.5 times higher when compared to classical sol-gel methods that use EtOH. Characterization of the gels by microscopic and spectrophotometric analyses showed that there are similarities between the structure of the gels based on THEOS and those developed by us from TEOS, TMOS and EG as co-solvents. The gels developed here showed good cellulase release properties at acidic pH, comparable to those based on THEOS and alginate. Microbial cellulases immobilized in the matrices obtained here and characterized in this work can operate as efficient systems for releasing enzymes, at acidic pH conditions, as feed additives.

**Keywords:** cellulases; entrapment; sol-gel; THEOS; ethylene glycol; alginate/silica matrices

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✉ e-mail: [gabriela.preda@e-uvv.ro](mailto:gabriela.preda@e-uvv.ro)

**Abbreviations:** EG, ethylene glycol; FT-IR, Fourier-transform infrared spectroscopy; SEM-EDAX, scanning electron microscopy-Energy Dispersive X-Ray Analysis; TEOS, tetraethoxysilane; THEOS, tetrakis (2-hydroxyethyl) orthosilicate; TMOS, Tetramethoxysilane

### INTRODUCTION

Cellulases are a group of enzymes (exo- and endoglucanases, cellobiases) that can hydrolyze cellulose from biomass to oligosaccharides and glucose. Biomass certainly will be a valuable resource in a sustainable bioeconomy (Bhat, 2000; Das *et al.*, 2011; Singhania *et al.*, 2010). Cellulases or related enzymes are also widely used

in brewery and wine, textile and detergent, pulp and paper industries (Dinçer & Telefoncu, 2007). There is an increasing need for cellulases on the market for bioconversion of lignocellulosic biomass to bioethanol or other bio-based products, and also for food and animal feed products (Bhat, 2000; Singhania *et al.*, 2010; Wongwilain *et al.*, 2010; Takimoto *et al.*, 2008).

For an efficient hydrolysis of cellulose-based woody and non-woody biomass in industrial and agricultural applications, active and stable cellulases are needed. Enzymes' stabilization by immobilization increases their usability, as well as the number and diversity of specific applications (Dinçer & Telefoncu, 2007; Das *et al.*, 2011; Yang *et al.*, 2021, Haodao *et al.*, 2020, Zheng *et al.*, 2019).

Only a few articles deal with the entrapment/encapsulation of cellulases in polymeric structures, probably because the low solubility of substrates and low diffusion of cellulose, a macromolecular substrate, in the matrix may diminish the enzyme's activity. Due to the growing interest in efficiently stabilized cellulases in biomass transformation processes, most of such papers were published in recent years (Haodao *et al.*, 2020, Zheng *et al.*, 2019, Ajay & Sangeeta, 2020; Wei *et al.*, 2020, Yonca *et al.*, 2020).

Entrapment in the sol-gel matrices could be a promising alternative to improve stability and reusability of cellulases with less alteration in their catalytic efficiency (Paljevac *et al.*, 2007; Jin & Breman, 2002; Avnir *et al.*, 1994; Gill & Ballesteros, 2000; Livage *et al.*, 2001; Kim *et al.*, 2006). The sol-gel process is used to obtain inorganic or hybrid biocompatible nano or mesostructured materials under mild conditions, usually using tetramethoxysilane (TMOS) or tetraethoxysilane (TEOS) as gel precursors (Kim *et al.*, 2006; Shchipunov *et al.*, 2004; Reetz *et al.*, 1996; Kim *et al.*, 2001; Park & Clark, 2002; Reetz *et al.*, 2000; Zarcu *et al.*, 2009; Sangeetha *et al.*, 2008). TMOS, a commonly used precursor (Brinker & Scherer, 1990), undergoes a rapid and exothermic hydrolysis and, for this reason, may affect the enzyme stability. TEOS is a less reactive, less toxic and cheaper precursor. The sol-gel synthesis used by us followed a two-step procedure, the sol synthesis from TMOS or TEOS in acid catalysis, and gelling with NaF as the catalyst. To diminish enzyme inactivation during the sol and gel synthesis, gel aging and drying, more friendly precursors and reaction environment (organic solvent or hydrolysis or/and condensation catalysts) are advisable. THEOS is such a water-miscible and biocompatible precursor, with high potential for enzyme entrapment (Kim *et al.*, 2001; Nochos *et al.*, 2008; Paljevac *et al.*, 2007; Shchipunov *et al.*, 2004; Shchipunov *et al.*, 2005; Bakunina *et al.*, 2006).

We report here the entrapment of *Trichoderma viride* cellulase in silica matrices by using THEOS as the gel precursor. We also report on the use of aged sols based on ethylene glycol, an agent compatible with both, the sol-gel process and the enzyme molecules (Husing *et al.*, 2006). Our research attempted to mimic the microenvironment produced by THEOS, using TEOS or TMOS precursors and ethylene glycol as the co-solvent. We also immobilized cellulases in hybrid organic-inorganic matrices, i.e. alginate-silica gels based on THEOS as the gel precursor. The activity and operational parameters of the immobilized cellulases, and the potential of these bioorganic materials as enzyme release systems with application in animal feeding were investigated. The influence of the sol-gel process on the catalytic efficiency was investigated based on the thermal behaviour of the gels, scanning electron microscopy (SEM) images and Fourier-transform infrared (FT-IR) spectra.

## MATERIALS AND METHODS

### Materials

Carboxymethyl cellulose sodium salt (CMC, low viscosity), cellobiose, (+)-glucose, hexane, Folin-Ciocalteu's phenol reagent, bovine serum albumin (BSA) and tetraethoxysilane (TEOS) were purchased from Merck. 3,5-dinitrosalicylic acid (DNS), alginate acid sodium salt, citric acid and tetramethoxysilane (TMOS) were obtained from Fluka. Tetrakis (2-hydroxyethyl) orthosilicate (THEOS) and potassium sodium tartrate tetrahydrate were from Sigma - Aldrich. Ethylene glycol (EG) was from Scharlau, ethanol and CaCl<sub>2</sub> sicc. from Chimopar. The *Trichoderma viride* CMGB 1 strain was preserved in the collection of industrial microorganisms of the Industrial Microbiology Laboratory of USAMVB Timisoara, Romania. All other chemicals were obtained from local suppliers or were commercially available reagent grade products, and were used without further purification.

### Enzyme biosynthesis

Microbial cells of *Trichoderma viride* CMGB 1 were cultured in solid state fermentation and the fermentation medium was processed according to the method described in (Mitchell, 1992; Lange, 2007; Dragomirescu *et al.*, 2012).

### Immobilization procedure

#### Procedure 1. Entrapment in silica gels

a. The immobilized CMCase samples, obtained by using TEOS and TMOS as silica precursors, were prepared based on the Reetz procedure (Reetz *et al.*, 2003). TEOS or TMOS (3 mmol), alcohol (0.5 mL ethanol or ethylene glycol), water (0.2 mL in the case of ethanol) and 0.1 N HCl (10  $\mu$ L) were mixed till a clear solution of sol was obtained. The *Trichoderma viride* CMCase powder was suspended in 0.1 M citric acid – 0.2 M Na<sub>2</sub>HPO<sub>4</sub> buffer solution, pH 4.6, stirred at room temperature, centrifuged 5 min at 25°C and 6000 rpm (Micro centrifuge, Roth, Germany), and the supernatant was used for immobilization. 0.7 mL of supernatant (40 enzyme units if not mentioned otherwise) and 50  $\mu$ L of 1 M NaF were added, and the sample was mixed for 30-60 seconds till gelling. The gel was kept for 24 h at 4°C for aging, washed with hexane (5 mL) and water (5 mL) under stirring, filtered and dried under vacuum (G1, G2,

G4, G5). In an alternative procedure, the sol was kept for aging at 4°C for 20 days, and used for enzyme entrapment as described (G3, G6).

b. The immobilization method was based on the Shchipunov procedure (Shchipunov *et al.*, 2004). 0.78 mL of the centrifuged enzyme solution (usually 40 enzyme units) and THEOS (3 mmoles) were stirred at room temperature until gelling. The gel was treated as described in Procedure 1a (G7).

#### Procedure 2. Entrapment in alginate hydrogels

The method described by Konsoula & Liakopoulou-Kyriakides (Konsoula & Liakopoulou-Kyriakides, 2006) was adapted as follows: 2% sodium alginate solution (1.18 mL) was mixed with 0.7 mL of the centrifuged enzyme solution (40 enzyme units), and dropped with a syringe needle in a 0.2 M CaCl<sub>2</sub> solution. The beads (2 $\pm$ 0.16 mm diameter) were kept in the CaCl<sub>2</sub> solution for 30 min., under gentle stirring, washed with distilled water to eliminate the CaCl<sub>2</sub>, filtered under vacuum and used as wet gels (G8).

#### Procedure 3. Entrapment in mixed silica-alginate gels

The method is also based on the procedure described by Konsoula & Liakopoulou-Kyriakides (Konsoula & Liakopoulou-Kyriakides, 2006). Part of the 2% sodium alginate solution was replaced by sol to obtain a solution with 4% of aged sol in the final volume (1.132 mL of 2% sodium alginate and 47.2  $\mu$ L of sol from TEOS or 0.904 mL of 2% natrium alginate and 37.7  $\mu$ L of sol from TMOS). Then, the enzymes were immobilized and the beads were treated as described in Procedure 2 (G9-G11).

#### Procedure 4. Entrapment in alginate gels coated with silica

According to the method used by Won and others (Won *et al.*, 2005), alginate beads (1 g) obtained as described in Procedure 2 were coated with hexane, then 1 mL aged sol (TEOS/EG or TMOS/EG) or 1 mL THEOS was added. The beads were kept at 4°C overnight, filtered and assayed (G12-G14).

### Assay of enzymatic activity and protein content

The CMCase and cellobiase activities were measured by UV-VIS spectrometry, according to the Petterson and Porath method described by Iordachescu & Dumitru (Iordachescu & Dumitru, 1980).

The CMCase activity was measured using CMC as the substrate and DNS as the reagent. 0.2 mL of the enzyme solution or 100 mg of immobilized enzyme and 2 mL of 1% CMC in 0.1 M citric acid – 0.2 M Na<sub>2</sub>HPO<sub>4</sub> buffer, pH 4.6, were incubated at 50°C for 10 minutes. The reaction was stopped by adding 3 mL of the DNS solution (0.25 g DNS in 5 mL of 2N NaOH and 7.5 g potassium sodium tartrate dissolved in 25 mL of distilled water) and by boiling for 15 minutes. The reducing sugars were assayed by measuring absorbance at 640 nm against a blank, in concordance with a standard curve, with a UV-VIS spectrometer (PG Instrument T60U Spectrophotometer, room temperature). One unit of the CMCase activity is defined as the amount of enzyme that hydrolyses CMC liberating 1 mmol<sub>glucose</sub>  $\times$  mL<sup>-1</sup>  $\times$  min<sup>-1</sup>, at 50°C.

For cellobiase activity measurements, the reaction mixture (0.2 mL of the enzymatic solution and 2 mL of 0.1% cellobiose solution in 0.1 M citric acid – 0.2 M Na<sub>2</sub>HPO<sub>4</sub> buffer, pH 4.6) was incubated at 50°C for 20 minutes. The reaction was stopped by adding 3 mL of the DNS solution and by boiling for 15 minutes. The

reducing sugars were assayed at 640 nm against a blank, using a standard curve. One unit of activity is defined as the amount of enzyme that hydrolyses cellobiose liberating  $1 \text{ mmol}_{\text{glucose}} \times \text{mL}^{-1} \times \text{min}^{-1}$ , at  $50^\circ\text{C}$ .

The FPase activity was measured by using filter paper (FP) as the substrate, by UV-VIS spectrometry, according to the Peitersen method described by Iordachescu & Dumitru (Iordachescu & Dumitru, 1980). The hydrolytic activity was tested by using Whatman no. 1 filter paper. The reaction mixture (50 mg of Whatman no. 1 filter paper strips  $1/6 \text{ cm}$ , 1 mL of 0.1 M citric acid – 0.2 M  $\text{Na}_2\text{HPO}_4$  buffer, pH 4.6, and 1 mL of enzymatic solution) was incubated at  $50^\circ\text{C}$  for one hour. The reducing sugar was determined using 3 mL of DNS and boiling for 15 minutes. One filter paper unit is the amount of enzyme that produces 1 mg of glucose, at  $50^\circ\text{C}$ , in one hour.

Protein content was assayed according to the Lowry method, using the Folin-Ciocalteu's phenol reagent and bovine serum albumin (BSA) as a standard (Lowry *et al.*, 1951), as follows: 100  $\mu\text{L}$  of the enzymatic solution or 50 mg of the immobilized enzyme, 100  $\mu\text{L}$  of distilled water, 5 mL of reagent A+B 50:1 w/w (A – 4 g of NaOH, 10 g of  $\text{Na}_2\text{CO}_3$  and 0.2 g of potassium sodium tartrate dissolved in 1000 mL of distilled water, B – 0.5%  $\text{CuSO}_4$  solution) and 0.5 mL of the Folin-Ciocalteu's reagent (dilution 1:2 in distilled water) were incubated for 30 minutes at room temperature. The protein content was determined by measuring absorbance at 660 nm against a blank.

In this study, the immobilization experiments were carried out in triplicates. The results shown are expressed as mean values with standard deviation.

### CMCase controlled release

An appropriate amount of gel (1 g) containing the immobilized *T. viride* enzyme was placed in a release medium (5 mL of 0.1 M citric acid – 0.2 M  $\text{Na}_2\text{HPO}_4$  buffer, pH 2.2, at  $37^\circ\text{C}$ ). Samples were withdrawn at predetermined time intervals and then the withdrawn volume was replaced with a fresh buffer solution. The amount of CMCase released from the gels was evaluated using the Petterson and Porath method (Iordachescu & Dumitru, 1980).

$$\text{CMCase release (\%)} = 100 \times U_{(\text{im})} / U_{(0)}$$

where:

$U_{(0)}$  = activity of the enzyme in the gel used in controlled release studies

$U_{(\text{im})}$  = activity of the enzyme released from gels (U/mL)  $\times$  total volume of release media (mL);

$$U_{(0)} = (U_{\text{tot}(0)} - U_{\text{tot}(w)}) / m_{\text{tot}}$$

where:

$U_{\text{tot}(0)}$  = activity of native enzyme (U/mg)  $\times$  total weight of native enzyme used for immobilization (mg);

$U_{\text{tot}(w)}$  = activity of the enzyme in supernatant and washings (U/mL)  $\times$  total volume of enzyme in supernatant and washings (mL);

$m_{\text{tot}}$  = total weight of immobilized enzyme obtained after drying.

### Characterization of the silica matrix

The water content of the gels used in activity measurements was determined using a Radwag WPS 210S type thermobalance.

For the SEM and Energy Dispersive X-Ray Analysis (EDAX) imaging analysis, the samples were mounted on

a conductive aluminum pin stub (specimen mount) using adhesive carbon discs on both sides (Patrick, 2009). The samples were metallized with gold using a sputter coater Agar with a layer of 3 nm thickness/deposition for 3 times. SEM analysis parameters were HV mode, 20kV, ETD, spot 5, 2 magnification order 100-181x for a general overview image and 12.000x for surface and fracture morphology side of gel beads. For EDAX, the samples were examined without gold sputtering on their surface. EDAX analysis parameters were HV mode, 15kV, ETD, EDAXD, spot 5, WD 10 mm.

The thermogravimetric analysis TG/DTG/HF measurements were performed on a Perkin Elmer Diamond device, in air, at a heating rate of  $10^\circ\text{C} \times \text{min}^{-1}$ .

The matrices were dried under vacuum and FT-IR spectra of KBr pellets were recorded using a Jasco FT-IR 430 spectrometer on  $400\text{--}4000 \text{ cm}^{-1}$  range at  $4 \text{ cm}^{-1}$  spectral resolution.

## RESULTS AND DISCUSSION

### Enzyme immobilization using different matrix precursors

The *Trichoderma viride* CMGB 1 strain was cultivated in solid state fermentation and the product was extracted and concentrated as described in Materials and methods section 2.2. The obtained aqueous solution had  $2.7 \pm 0.2 \text{ U/mL}$  CMCase ( $\beta$ -1,4-endoglucanase) activity,  $1.0 \pm 0.1 \text{ U/mL}$  cellobiase activity and  $0.11 \pm 0.06 \text{ U/mL}$  FPase ( $\beta$ -1,4-exoglucanase) activity. The crude powder obtained by lyophilisation had a CMCase activity of  $286.7 \pm 23.9 \text{ mmol} \times \text{min}^{-1} \times \text{g}^{-1}$  and a protein content of  $226.6 \pm 14.3 \text{ mg}_{\text{BSA}} \times \text{g}^{-1}$ . Considering its high CMCase activity, the product was used in immobilization experiments without purification. The enzyme was entrapped in silica gels, using three precursors, TEOS (G1, G2, G3), TMOS (G4, G5, G6) and THEOS (G7). The enzyme was also entrapped in Ca-alginate gels (G8) and in a combination of these gels (G9-G14) (Table 1).

Considering the CMCase activity of the washings, the amount of enzyme immobilized in the gel can be calculated as the difference between the activity of the enzyme used for immobilization and the enzyme activity of the washings. Usually, the activity loss in the washings is low and the reported immobilization yields are high (Table 1) (Saleem *et al.*, 2005). The same discussion is valid for the protein content. But most of the protein is entrapped in the gel. The availability of active enzyme in the matrix and the enzyme-substrate interaction are influenced by a number of phenomena, such as the diffusion of substrates and products through the porous matrix structure, protein-network interaction or protein aggregation and/or unfolding that usually lead to a loss in catalytic efficiency. If gels are used in the assays, the activity and protein content of the available active enzyme can be determined. Even though the yields were lower, this approach may be useful in further enzyme delivery studies. The unpurified enzyme used in experiments could be another explanation for the lower activities and protein contents, probably due to the additives/impurities occurring in the crude enzyme product (Vicira *et al.*, 2011).

The best CMCase activity was obtained in Ca alginate, in wet beads (G8), which could explain the higher activities. Significantly lower activities were obtained for immobilization in TEOS and TMOS-derived silica gels (G1 and G4), as compared with alginate. This was probably

**Table 1. Entrapment of CMCase in silica gels, Ca-alginate gel and mixed silica-alginate or silica/alginate gels.**

The *Trichoderma viride* lyophilized CMCase was immobilized by entrapment in silica gels (G<sub>1</sub>-G<sub>7</sub>), Ca-alginate gel (G<sub>8</sub>) and mixed silica-alginate or silica/alginate gels (G<sub>9</sub>-G<sub>14</sub>). The enzyme was entrapped in the gels G<sub>1</sub>-G<sub>14</sub> during the gelification step. The silica gels were synthesized by the sol-gel method (a two-step procedure). The organoalkoxysilane precursors used for synthesis were: TEOS (G<sub>1</sub>-G<sub>3</sub>), TMOS (G<sub>4</sub>-G<sub>6</sub>), THEOS (G<sub>7</sub>). Co-solvents used were: ethanol (G<sub>1</sub>, G<sub>4</sub>) and ethylene glycol (G<sub>2</sub>, G<sub>3</sub>, G<sub>5</sub>, G<sub>6</sub>, G<sub>9</sub>, G<sub>10</sub>, G<sub>12</sub>, G<sub>13</sub>). The silica sols based on ethylene glycol were used fresh (G<sub>2</sub>, G<sub>5</sub>) or aged (G<sub>3</sub>, G<sub>6</sub>, G<sub>9</sub>, G<sub>10</sub>, G<sub>12</sub>, G<sub>13</sub>). The mixed silica-alginate gels were obtained by replacing part of the sodium alginate solution with sol (G<sub>9</sub>-G<sub>11</sub>). The combined silica/alginate gels were obtained by entrapment of enzyme in alginate pearls and coating the pearls with aged sol (G<sub>12</sub>-G<sub>14</sub>).

| Gel No. | Matrix or gel precursor      | Water content (%) | CMCase activity mmol×min <sup>-1</sup> ×g <sup>-1</sup> | Protein content mgBSA/g | Immobilization yield (%) |                          |
|---------|------------------------------|-------------------|---|-------------------------|--------------------------|--------------------------|
|         |                              |                   |   |                         | Effective <sup>a</sup>   | Theoretical <sup>b</sup> |
| G1      | TEOS/EtOH                    | 51.9±4.6          | 2.7±0.5   | 14.8±1.3                | 3.5±0.2                  | 99.6±1.8                 |
| G2      | TEOS/EG                      | 43.3±3.9          | 4.4±0.7   | 20.7±1.9                | 16.0±1.3                 | 95.2±3.7                 |
| G3      | TEOS/EG (aged)               | 38.8±2.7          | 7.4±0.6   | 23.0±2.0                | 10.3±1.4                 | 99.8±1.5                 |
| G4      | TMOS/EtOH                    | 47.4±4.6          | 1.8±0.1   | 12.5±1.1                | 2.8±0.1                  | 99.9±1.7                 |
| G5      | TMOS/EG                      | 41.5±3.9          | 2.0±0.4   | 16.4±1.4                | 4.2±0.4                  | 97.5±2.6                 |
| G6      | TMOS/EG (aged)               | 36.2±3.8          | 2.2±0.2   | 21.8±1.9                | 2.8±0.4                  | 99.8±1.4                 |
| G7      | THEOS                        | 48.7±4.6          | 6.4±0.8   | 26.2±2.4                | 33.2±4.9                 | 92.9±4.1                 |
| G8      | Alginate (wet gels)          | 92.3±8.8          | 12.9±1.0  | 8.0±0.7                 | 25.5±2.3                 | 68.4±4.9                 |
| G9      | TEOS/EG/alginate             | 91.1±8.2          | 6.3±0.8   | 9.1±0.8                 | 11.2±1.4                 | 68.3±4.4                 |
| G10     | TMOS/EG/alginate             | 90.8±7.6          | 7.5±0.7   | 8.9±0.7                 | 17.1±1.6                 | 70.1±4.7                 |
| G11     | THEOS/alginate               | 94.1±8.9          | 11.3±0.9  | 10.4±0.9                | 19.8±1.7                 | 66.7±4.9                 |
| G12     | Alginate coated with TEOS/EG | 78.4±7.1          | 6.5±0.6   | 7.3±0.6                 | 20.1±1.9                 | 94.8±4.1                 |
| G13     | Alginate coated with TMOS/EG | 75.9±6.8          | 10.9±0.9  | 8.9±0.7                 | 24.0±2.6                 | 95.2±3.7                 |
| G14     | Alginate coated with THEOS   | 75.4±6.7          | 14.7±1.2  | 9.6±0.8                 | 25.4±2.7                 | 91.2±4.9                 |

<sup>a</sup>Immobilization yield (%) =  $100 \times U_{\text{tot(im)}}$  /  $U_{\text{tot(f)}}$ , where  $U_{\text{tot(im)}}$  = activity of the immobilized enzyme (U/mg) × total weight of immobilized enzyme (mg),  $U_{\text{tot(f)}}$  = activity of the native enzyme (U/mg) × total weight of native enzyme used for immobilization (mg); <sup>b</sup>Immobilization yield (%) =  $100 \times U_{\text{tot(w)}}$  /  $U_{\text{tot(f)}}$ , where  $U_{\text{tot(w)}}$  = activity of enzyme in supernatant and washings (U/mL) × total volume of supernatant and washings (mL),  $U_{\text{tot(f)}}$  = activity of native enzyme (U/mg) × total weight of native enzyme used for immobilization (mg);

due to the tight structure of the matrix in the air-dried powder (xerogel). The collapse of the pores and shrinkage of the gel at drying, even under mild conditions, is expected to lower the activity. Despite this, the silica gel matrix has a series of advantages, which reside in its inorganic nature, such as good mechanical stability and resistance to microbial attack (Coradin *et al.*, 2006). The lowest activity was obtained using TMOS, which according to the literature is probably the most widely used silica precursor (Brinker & Scherer, 1990).

Coating alginate beads with silica gels has proven effective in obtaining immobilized cellulase with higher enzymatic activity. The CMCase of alginate coated with THEOS showed the highest increase compared to the other gels, being closely followed by alginate coated with TMOS/EG. The CMCase activity of alginate coated with TMOS/EG was five times higher than the activity of TMOS/EtOH or TMOS/EG gels (Table 1). By this method, the advantages of the two matrices were combined for more efficient immobilized cellulases with higher activities and higher effective immobilization yields.

### Alcohols' influence

When using THEOS as a gel precursor, two major differences have to be mentioned when comparing with TEOS or TMOS: a) neither organic solvent nor catalyst are needed for the sol synthesis, b) the ethylene glycol produced in the hydrolysis-condensation reactions may influence the microenvironment in the matrix and the enzyme-network interaction with impact on the enzyme's structure and activity.

Usually, the sol is obtained in a ternary system: alcoxysilane/water/alcohol (ethanol or methanol). In our studies, we used ethylene glycol as an effective biocompatible alternative to ethanol in the sol synthesis: TEOS/EtOH/water or TMOS/EtOH/water in a molar ratio of 3/8.7/11 and TEOS/EG or TMOS/EG in a molar ratio of 3/8.95 (extra water is not needed). Considering that the sols based on TEOS/EG or TMOS/EG can be preserved at low pH and low temperature for months without gelling, we used both, freshly prepared and aged sols (preserved at 4°C for 20 days) in the CMCase immobilization experiments. The results are shown in Table 1 (G2, G3, G5, G6).

For TEOS, the activities are about three times higher using the aged sol and can be compared with those obtained using THEOS. These results proved that TEOS/ethylene glycol and ethylene glycol/TMOS systems are good alternatives for THEOS.

### Mixed gels

To benefit from the advantages of the two entrapment procedures, in silica and alginate gels, we used a combined method, in two variants: a) synthesis of the gel from a mixture of the two gel precursors (mixture of sol and alginate) and enzyme solution (as shown in Table 1 for G9, G10 and G11), and b) the Ca-alginate gels containing the entrapped enzyme coated with silica gel synthesized using TEOS/EG, TMOS/EG sol or THEOS (as shown in Table 1 for G12, G13, G14).

Using TEOS or TMOS based sols in a mixture with Ca-alginate (variant a), 50% and 40% decrease in the yield of reducing sugars was obtained, when compared with alginate (Konsoula & Liakopoulou-Kyriakides,

2006). An increase in activity and protein content was achieved in variant b, the results being comparable with the entrapment in Ca-alginate wet gels. The THEOS/alginate combination gave the best results. In the case of alginate gels covered by THEOS or especially TEOS-based silica, robust and biologically stable beads were obtained that did not shrink by drying or swallow in buffer at room temperature as alginates usually did.

The “effective” protein content is also shown for these samples as a measure of accessibility of the substrate or analytes to the enzyme. In the case of alginate gels, even though some protein was lost in the washings (Nochos *et al.*, 2008), the activity is higher. So, the alginate beads covered by THEOS based silica gels could be an alternative to improve the mechanical properties and the resistance to microbial attack of Ca-alginate gels.

### Release of CMCCase

Being a non-invasive method, entrapment of enzymes in gels does not significantly alter the most important operational properties. So, the optimum pH of the free CMCCase is 3.0, the optimum temperature range is 50–70°C (where more than 90% of the enzyme’s activity is preserved), the catalytic efficiency  $V_{\max} \times 1000 / K_M$  is  $155.7 \mu\text{mol}_{\text{glucose}} \times \text{mg}^{-1} \times \text{min}^{-1}$ . By entrapment in TEOH/EtOH and THEOS based silica gels (G1 and G7), as described in (Dragomirescu *et al.*, 2012), the optimum pH is shifted by 1–2 units to higher values, while the optimal temperature is lower, but above the normal temperature in the living organisms (40–60°C for THEOS based silica gels). Stability tests show that the products preserve about 62% and 55% of activity, respectively, at pH 2.6 and 37°C, without any preserving agent (Dragomirescu *et al.*, 2012), being more stable than the crude enzyme. The decrease in catalytic efficiency (35% loss for TEOS based gels and 28% loss for THEOS based gels) is compensated by the stabilizing effect of immobilization and by the need for solid products in formulations. These stability results of entrapped microbial cellulases in silica gels or mixed silica/alginate gels are compatible with the animal digestion cycle and make the products useful as additives in feed biotechnology (Dragomirescu *et al.*, 2011).

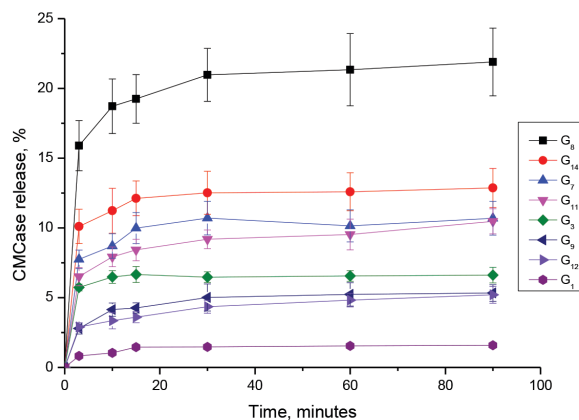
Release experiments were performed using the gels based on TEOS, THEOS and/or Ca-alginate (G1, G3, G7, G8, G9, G11, G12 and G14), in 0.1 M citric acid – 0.2 M  $\text{Na}_2\text{HPO}_4$  buffer, pH 2.2, at 37°C (close to physiological conditions). The results are shown in Fig. 1.

Most of CMCCase was released at pH 2.2 in the first 30 minutes, then the release continued with low rate. The best enzyme recovery was obtained for G8 (alginate gels) while the worst recovery was obtained for G1 (TEOS/EtOH). As a rule, the THEOS based gels (G11, G14, G7) gave better results than the similar TEOS based gels (G9, G3, G1), even though in all cases the recovery was below 12%. The G12 sample, with the alginate gel coated with TEOS based silica gel had a release behaviour very different from alginate (G8), proving that the coating prevents enzyme leakage and the release was slowed down (Won *et al.*, 2005).

The release from the porous gel varies in the series of gel or gel precursors (Fig. 1):

TEOS/EtOH < TEOS/EG aged < THEOS < alginate

We did not observe significant differences for the two mixed gel variants (G9 and G12, or G11 and G14). Even though the best results were obtained using calcium alginate, unfortunately its use has significant draw-



**Figure 1. Release curves of CMCCase from gels:**

G1 – TEOS/EtOH, G3 – TEOS/EG (aged), G7 – THEOS, G8 – alginate, G9 – TEOS/EG/alginate, G11 – THEOS/alginate, G12 – alginate coated with TEOS/EG, and G14 – alginate coated with THEOS. CMCCase release (%) =  $100 \times U_{\text{im}} / U_{\text{ij}}$  where  $U_{\text{im}}$  = activity of the enzyme released from gels (U/mL)  $\times$  total volume of release media (mL);  $U_{\text{ij}} = (U_{\text{tot(i)}} - U_{\text{tot(w)}}) / m_{\text{tot}}$  where  $U_{\text{tot(i)}}$  = activity of the native enzyme (U/mg)  $\times$  total weight of the native enzyme used for immobilization (mg);  $U_{\text{tot(w)}} =$  activity of the enzyme in supernatant and washings (U/mL)  $\times$  total volume of enzyme in supernatant and washings (mL);  $m_{\text{tot}}$  = total weight of the immobilized enzyme obtained after drying.

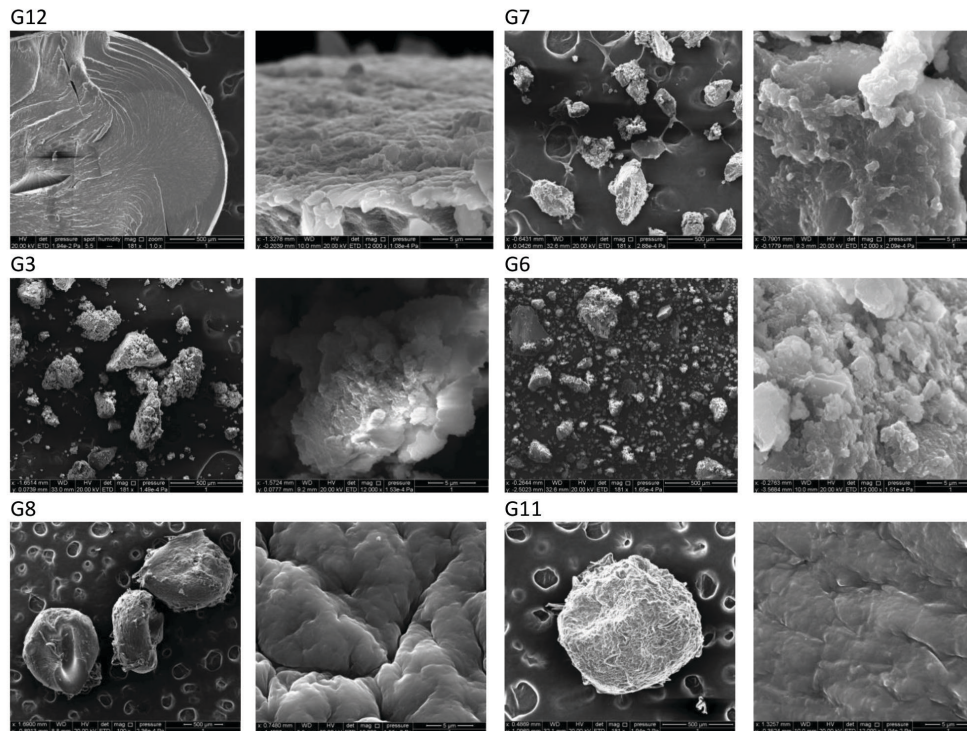
backs, such as loss of activity in the dry form, and low mechanical and biochemical stability. Thus, the mixed gels (alginate-THEOS), THEOS or TEOS/EG based gels were the best choice.

It is obvious that the release of enzymes differs from the release of drugs, which are usually small molecules with well-characterized structures. Thus, less enzyme activity recovery was obtained. The results are also influenced in our case by the low pH of the environment. Some authors suggest that the alginate gel pores drastically collapse at low pH (George & Abraham, 2006).

### Characterization of the silica matrix

Alginate gels have been well studied for a long time (Sangeetha *et al.*, 2008; Shchipunov *et al.*, 2004; Fundueanu *et al.*, 1999; Vijaya *et al.*, 2008). Nevertheless, a brief look at the main structural features of alginate and/or silica gels may give a better understanding on the biochemical behaviour of the entrapped enzyme.

The scanning electron microscopy (SEM) images of some relevant samples are presented in Fig. 2. Three different morphological types are observed in the investigated samples (Ludwig, 1998). The first morphological type (G12 – alginate coated with TEOS/EG) is characterized by the presence of an outer and inner layer. The inner layer is homogeneous while the outer layer has a rough surface without surface injury. The second morphological type is represented by samples G3, G6, G7 (silica gels based on TEOS, TMOS and THEOS, respectively). These samples have the silica gel surface covered uniformly in respect to thickness, shape and structure. Nevertheless, some differences can be noticed, i.e. the higher porosity of the gels based on THEOS (G7). The last morphological type is observed in samples G8 and G11 (alginate gels and gels obtained from alginate and THEOS mixture). These beads present a smooth surface with a network of ditches that are joined at different points, forming regular geometric shapes on the surface and increasing the specific surface.



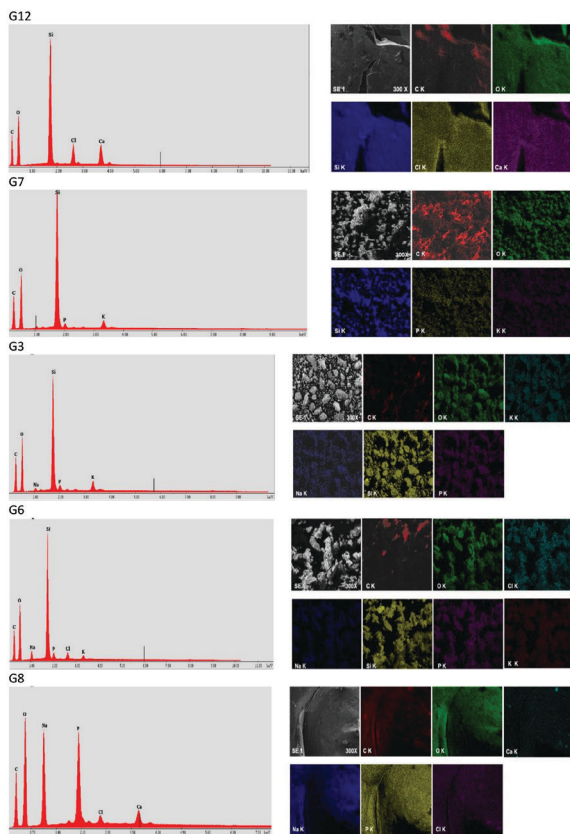
**Figure 2.** Scanning electron microscopy micrographs and surface morphology of silica and/or alginate gel beads obtained using different precursors

The elemental analysis of the alginate and/or silica gel beads containing immobilized cellulase was performed

by EDAX spectroscopy (Fig. 3). This analysis showed the presence of carbon in all samples. In sample G12, the chemical elements O, Si, Ca, Cl were relatively evenly distributed on the surface of the analysed area. In sample G7 and G3, the carbon was in a smaller amount, whereas O, Na, Si, and P were well represented. In sample G6, Si, O, Cl, and P were well expressed, while Na and K were in small amounts. In sample G8 and G11, the elemental analysis evidenced the highest amount of carbon on the surface among all 6 samples analysed. The chemical elements O, Si, Na, and P were well represented, while Ca and Cl were in small amounts.

The above remarks are convergent with the thermal analysis of the silica matrices (as shown in Fig. 4). In the case of G1 and G4, a continuous mass loss of about 12% is observed till 500°C. For G7, two mass losses for  $T = 26\text{--}100^\circ\text{C}$  and  $100\text{--}190^\circ\text{C}$  endothermic domains are observed, due to the lower molecular mass compounds (ethanol and ethylene glycol), with a mass loss of 10.7 and 12.5%, respectively. The other two domains, an endothermic one ( $190\text{--}290^\circ\text{C}$ ) and an exothermic one ( $>290^\circ\text{C}$ ) correspond to the products released by the break of Si-C bonds, with a mass loss of 10.6%.

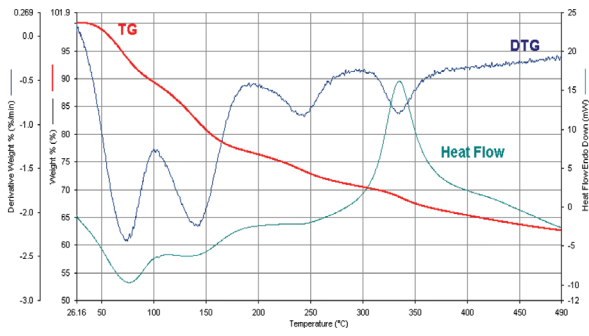
The G2 and G3 thermograms, corresponding to TEOS/EG and TEOS/EG aged sol-gels, have a different and characteristic shape with 2 endo and one exo domain. These three domains for TEOS/EG have maximum temperatures at  $142^\circ\text{C}$ ,  $279^\circ\text{C}$  and  $371^\circ\text{C}$ , and



**Figure 3.** SEM images of the area of interest, and X-ray element mapping.

Microstructural characterization using dispersive X-ray spectroscopy (samples G12, G7, G3, G6, G8, G11) (Abbreviations: SE 1 – the SEM detector used for examination (Secondary Electron detector no. 1); C, Si, O, Na, P, Cl, Ca – chemical elements; K – energy levels/electron shell – bound to the chemical element's nucleus species; 300 $\times$  – magnification ratio)

G7



G7

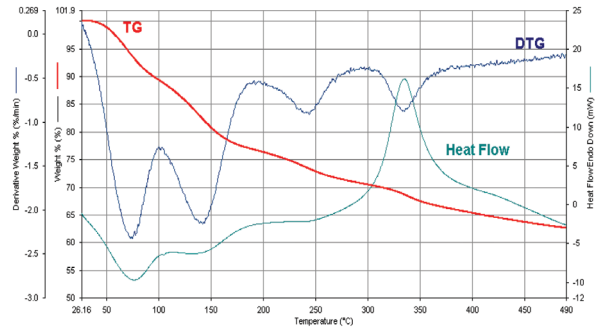
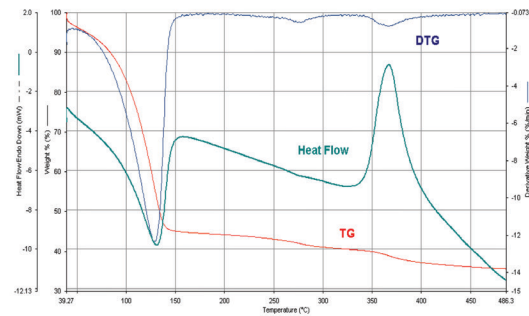
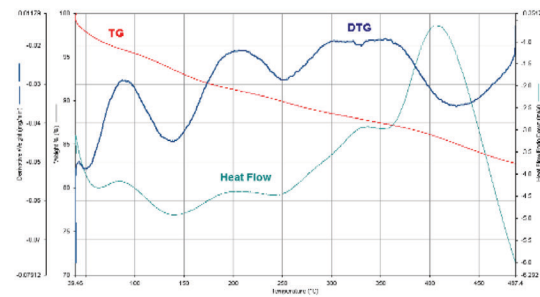


Figure 4. TG/DTG/HF Thermograms of silica gels obtained using different precursors: G1 – TEOS/EtOH, G2 – TEOS/EG, G3 – TEOS/EG aged, G4 – TMOS/EtOH, G5 – TMOS/EG, G6 – TMOS/EG aged, and G7 – THEOS.

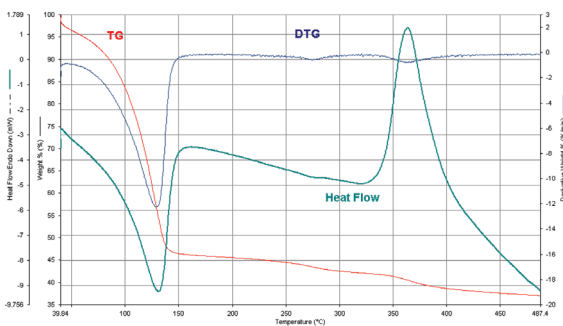
G3



G4



G5



G6

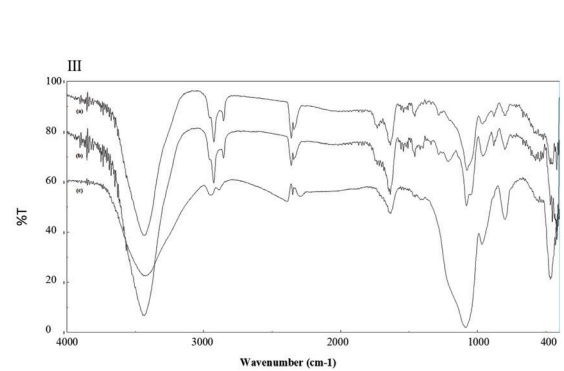
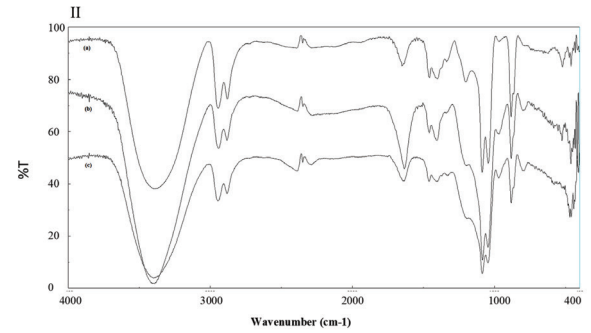
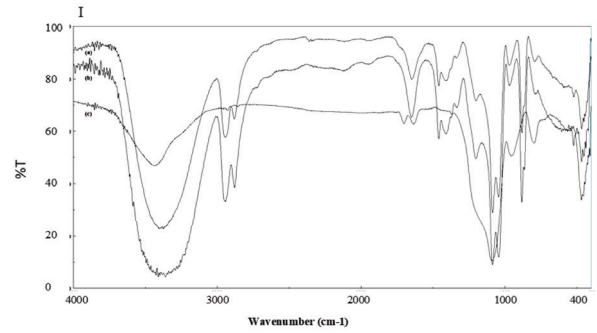
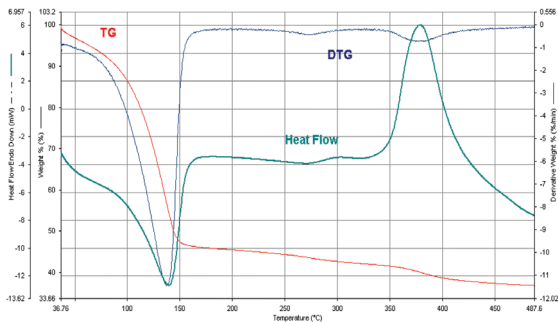


Figure 5. I: FT-IR spectra of TEOS derived silica gels: G1(c), G2 (b), G3 (a); II: FT-IR spectra of THEOS derived silica gels (G7): with 0 (a), 20 (b) and 40 (c) CMCase units/100 mg gel; III: FT-IR spectra of TEOS derived silica gels: G1 (c), G2 (b), G3 (a) (40 CM-Case units/100 mg gel)

weight losses of 60.5%, 2.3% and 3.5%, respectively, corresponding to free, hydrogen-bonded and chemically bonded EG to the silica network, according to Stefanescu and others (Stefanescu *et al.*, 2007). For the TEOS/EG aged sol derived gels, the behaviour is similar, the weight loss being 52.3%, 3.2% and 4.3%, respectively. This data suggest a higher proportion of the stronger bound ethylene glycol.

Among the analytical methods available, the FT-IR spectra analysis could give qualitative structural information on the matrices described in this paper, although FT-IR spectroscopy alone was not able to give information about the exact nature of the binding of ethylene glycol to the silica network. TEOS, TMOS and THEOS sol-gel spectra were recorded as shown in Fig. 5.

As can be seen in Fig. 5.I.a, the spectrum of the TEOS/EtOH gel shows all the characteristic bands of the silica matrix. The most intensive bands, at 1080–1200  $\text{cm}^{-1}$ , are attributed to the stretching of Si-O-Si bond. At 3400  $\text{cm}^{-1}$  the vibration of OH, at 1650  $\text{cm}^{-1}$  the deformation of OH from the incorporated water and residual Si-OH bonds are shown. The deformation bands in the 1400–1460  $\text{cm}^{-1}$  region corresponds to the residual ethoxy moiety, if present. The band at 460  $\text{cm}^{-1}$  is probably due to the Si-O vibration, at 800  $\text{cm}^{-1}$  to  $\text{SiO}_4$  tetrahedra, at 595  $\text{cm}^{-1}$  to cyclic Si-O-Si (Rami & Houssam, 2009; Lenza & Vasconcelos, 2003).

Figure 5.II shows the THEOS derived gels' spectra. A deeper and larger band of OH at 3400  $\text{cm}^{-1}$  indicates more hydrogen-bonded OH groups, probably due to ethylene glycol and water. Also at 2880 and 2945  $\text{cm}^{-1}$ , the characteristic CH stretching bands in the hydrocarbon chain of organic compounds are shown. A quite intense band at 882  $\text{cm}^{-1}$  corresponds to the deformation of C-C bond from the ethylene group. Also, the band at 1200  $\text{cm}^{-1}$  is attributed to the Si-O-C bending (Stefanescu *et al.*, 2007). The spectra of THEOS sol-gel in Fig. 4.II are quite similar to the TEOS/ethylene glycol spectra in Fig. 5.I.b and 5.I.c, but very different from the spectra of the TEOS/ethanol derived gel (Fig. 5.I.a and 5.III.a).

Figures 5.I and 5.III contain the spectra of TEOS/EG sol-gels, obtained from fresh or aged sols. A difference between the gels obtained from TEOS/ethanol and TEOS/ethylene glycol is observed. Also, the spectra of the gels obtained from fresh and aged sols are not perfectly identical, suggesting a continuous aging process (low intensity band at 1732  $\text{cm}^{-1}$ ).

The spectra shown in Figs. 5.II.b, 5.II.c and 5.III correspond to immobilized enzymes. Although the protein mass percentage in the matrix is low, the band at 1635  $\text{cm}^{-1}$  can be attributed to the C=O from the amidic band of the protein (amide II band). An increase in the enzyme content of the matrix enhances this band when compared with the Si-O-Si stretching bands at 1080–1200  $\text{cm}^{-1}$ .

## CONCLUSIONS

Our work suggests that cellulases can be immobilized by entrapment in silica matrices with good immobilization yields. THEOS is a valuable silica precursor for the enzymes' entrapment. A method was developed to mimic THEOS in gel synthesis. The ethylene glycol used as co-solvent for TEOS or TMOS in a sol-gel process acts as both, an active structural component of the matrix and preserving agent for the enzyme. As SEM-EDAX, thermo-gravimetric and FT-IR data suggest, the gels ob-

tained using TEOS/EG (aged) or THEOS have some similarities in structure, ethylene glycol being not only entrapped in the matrix, functioning as a spacer, but probably linked by physical and chemical bonds. These precursors can be used in mixed silica/alginate or silica-coated alginate gels, good organic-inorganic hybrid materials for enzyme entrapment.

All the gels tested here for cellulase immobilization have worked as enzyme release systems, proving the most effective ones to be the gels obtained from THEOS, simple or mixed with alginate. Also, the aged gel synthesized from TEOS and EG as co-solvent has been shown here to be effective in releasing cellulases at acidic pH.

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