



ORIGINAL ARTICLE

Predicting recurrence of hepatocellular carcinoma after liver transplantation using a novel model that incorporates tumor and donor-related factors

Lorenzo A. Orci^{1,2}, Christophe Combescure³, Michael Fink⁴, Graziano Oldani^{1,2}, Philippe Compagnon^{1,2}, Axel Andres^{1,2}, Thierry Berney^{1,2} & Christian Toso^{1,2}

1 Division of Abdominal and Transplantation Surgery, Department of Surgery, Faculty of Medicine, Geneva University Hospitals, Geneva, Switzerland

2 Faculty of Medicine, Hepato-pancreato-biliary Centre, Geneva University Hospitals, Geneva, Switzerland

3 Division of Clinical Epidemiology, Geneva University Hospitals, Geneva, Switzerland

4 Department of Surgery, Austin Health, Medicine Dentistry and Health Sciences, The University of Melbourne, Melbourne, Victoria, Australia

Correspondence

Dr. Lorenzo A. Orci, Division of Abdominal and Transplantation Surgery, Department of Surgery, Geneva University Hospitals and Faculty of Medicine, 4 rue Gabrielle-Perret-Gentil, 1211 Geneva, Switzerland.

Tel: +41223723311;

fax: +41223727755;

e-mail: lorenzo.orci@hcuge.ch

SUMMARY

Evidence suggests that liver graft quality impacts on posttransplant recurrence of hepatocellular carcinoma (HCC). As of today, selection criteria only use variables related to tumor characteristics. Within the Scientific Registry of Transplant Recipients, we identified patients with HCC who underwent liver transplantation between 2004 and 2016 (development cohort, $n = 10\,887$). Based on tumor recurrence rates, we fitted a competing-risk regression incorporating tumor- and donor-related factors, and we developed a prognostic score. Results were validated both internally and externally in the Australia and New Zealand Liver Transplant Registry. Total tumor diameter (subhazard ratio [sub-HR] 1.52 [1.28–1.81]), alpha-feto protein (sub-HR 1.27 [1.23–1.32]), recipient male gender (sub-HR 1.43 [1.18–1.74]), elevated donor body mass index (sub-HR 1.26 [1.01–1.58]), and shared graft allocation policy (sub-HR 1.20 [1.01–1.43]) were independently associated with tumor recurrence. We next developed the Darlica score (sub-HR 2.72 [2.41–3.08] $P < 0.001$) that allows identifying risky combinations between a given donor and a given recipient. Results were validated internally ($n = 3\,629$) and externally in the Australia and New Zealand Liver Transplant Registry ($n = 370$). The current score is based on variables that are readily available at the time of graft offer. It allows identifying hazardous donor–recipient combinations in terms of risk of tumor recurrence and overall survival.

Transplant International 2021; 34: 2875–2886

Key words

deceased donors, liver clinical, malignancies and long term complications, outcome, solid tumors

Received: 14 April 2021; Revision requested: 31 August 2021; Accepted: 3 September 2021;

Published online: 9 December 2021

Introduction

Liver transplantation offers the best chance of cure for patients with early-stage hepatocellular carcinoma (HCC), and the proportion of patients with HCC as an indication for liver transplantation is rising [1]. Post-transplant tumor recurrence is the main drawback of

this strategy, with 8–20% of patients experiencing tumor relapse five years after deceased-donor liver transplantation [2].

Careful candidate selection is crucial when inscribing an HCC-bearing patient on the waiting list, especially when considering global organ shortage. Since their publication in 1996, the Milan criteria [3] have become

an international standard in this matter, and most US centers still use these criteria nowadays. However, the Milan criteria have been criticized for their restrictiveness, and several groups have proposed alternative approaches [4–6] achieving comparable or even better outcomes, amid some debate [7]. Further improvements in patient selection have been achieved by taking tumor biology into account, for instance by using surrogate markers such as alpha-feto protein (AFP) and protein induced by vitamin K absence-II [8–10].

It is noteworthy that, as of today, routinely used selection criteria are solely based on the patients' tumor characteristics. But over the last decade, going one step forward, our group and others have reported clinical [11–13] and experimental [14] evidence supporting that, in addition to tumor characteristics, liver graft quality and donor-related factors may have an impact on tumor recurrence after liver transplantation. While donor marginality can be defined in several manners, it most commonly reflects a continuum of risk based on characteristics impacting liver graft quality, such as donor age, body mass index (BMI), mechanism of death, presence of underlying conditions, graft steatosis, and duration of cold and warm ischemia [15].

Building on this evidence, the objective of this study was to develop and validate a prognostic score combining tumor and donor characteristics, to predict post-transplant HCC recurrence.

Materials and methods

Data source, study population, and variables of interest

We used data from the Scientific Registry of Transplant Recipients (SRTR) to derive and internally validate the score. The SRTR includes data on all donors, wait-listed candidates, and transplant recipients in the United States, submitted by members of the Organ Procurement and Transplantation Network. The study population consisted of adult patients with HCC as a primary or secondary diagnosis, undergoing a first liver transplantation between January 2004 and December 2016. Patients with cholangiocarcinoma, or mixed HCC-cholangiocarcinoma were excluded. We excluded partial grafts (living donation and split livers) to avoid the bias induced by the association of small-for-size livers with tumor cell proliferation [16]. For external validation, we used data from the Australia and New Zealand Liver and Intestinal Transplant Registry (ANZLITR), which contains data on liver and intestinal transplants

performed in Australia and New Zealand since establishment of first liver transplant unit in 1985.

Given the design of this study, local ethical approval was not required. But access to both registries was subject to local institutional review by the SRTR and ANZLITR (Protocol n.9293, and HREC/58592/Austin-2019, respectively) with written agreements on data use and safety management. Patient-related variables are anonymized in both registries, and information on the geographical location of the transplant centers is not available in any of the standard analysis files.

In the SRTR dataset, using computer-generated random sequence, we split the study population into two cohorts. The development cohort comprised three quarters of the population ($n = 10\,887$), and the remaining patients were allocated to the internal validation cohort ($n = 3\,629$). We collected information on patient age, gender, BMI, underlying liver disease, date of inscription on the waiting list, date of transplantation, date of tumor recurrence, and date of death.

To evaluate tumor morphology, we retrieved the most recent pretransplant data, describing the number of tumor nodules and their diameter (cm). Total tumor diameter was calculated by summing the diameters of individual nodules. Of note, when looking at the whole SRTR-derived population, the most recent radiological assessments of HCC nodules were made via magnetic resonance imaging, computed tomography, or ultrasound in 7 554 (52%), 6 673 (46%), and 289 (2%) of cases, respectively. Because candidates for liver transplantation undergo repeated oncological assessments while on the waiting list, we used the most recent AFP value. This approach, which has been used by other internationally validated scores [17], is supported by previous evidence from the SRTR, where only the last AFP values (as opposed to the value at listing, or AFP dynamic changes) independently predict posttransplant survival rates [18].

Before doing any statistical modeling, we selected donor-related predictor variables that were considered to be plausibly related, both biologically and clinically, to the recurrence of HCC. In addition, the selection of candidate predictors was restricted to those that would be readily available at the time of graft offer and allocation. For instance, in our main analysis, we did not evaluate factors that may not be anticipated before organ procurement surgery (e.g., cold ischemia time, or warm ischemia time during graft implantation). Such variables would not be of use in the real-world setting, our score being designed to inform clinical decision-making at the time of graft offer. Donor-related

variables of interest were donor age, BMI, gender, cause of death, blood group, diabetes, hypertension, smoking, cocaine use, need for inotropic support, region in which organ procurement took place, and whether the liver graft was shared among different organ procurement organizations. We calculated the donor risk index (DRI) according to Feng *et al.* [19], and assessed whether donors were considered marginal according to the Organ Procurement and Transplantation Network (OPTN) expanded donor criterion. Briefly, these criteria describe donors over the age of 60 years without comorbidities or donors over the age of 50 years with two comorbidities among hypertension, death from cerebrovascular accident, or serum creatinine levels >1.5 mg/dL [20].

Statistical analysis and design of the prediction score

We identified patients with posttransplant HCC recurrence according to the methodology by Samoylova [21], where posttransplant HCC recurrence is identified at the time a diagnosis of recurrence is made, or when a patient dies with the cause of death being recurrent HCC. A step-by-step guidance on how to use this approach can be found in the Methods S1. Because patients receiving a liver transplant in the presence of HCC are at risk of mutually exclusive events, we used a competing-risk model to calculate adjusted posttransplant HCC recurrence rates, with death as the event competing with tumor recurrence. For tumor characteristics, we used blood level of AFP and total tumor diameter, because these variables are easy to assess in the clinical context, and they respected the proportional hazard assumption in the current model.

In the development cohort, a multivariable competing-risk model was fitted to assess the impact of putative predictors on the risk of tumor recurrence. Next, based on the weights derived from the coefficient of each independent variable, we constructed a prognostic score predicting the five-year rate of posttransplant recurrence. This score was called the Donor And Recipient score for Liver Cancer (Darlica). We assessed the score's discrimination capacity by calculating the Wolbers's c-statistic for the primary outcome (tumor recurrence) [22] and we further calculated Harrell's c-statistic with regard to overall survival analyses. In brief, a value of 0.5 indicates no discrimination and a value of 1 indicates perfect discrimination. To validate the model (both internally, in the SRTR-based validation cohort, and externally, using data from the ANZLITR), we calculated the predicted recurrence rates for each

patient in the validation cohorts ($n = 3\,629$ and $n = 370$ in the internal and external validation sets, respectively) using the coefficients from the model obtained in the development cohort. As a post-hoc analysis (Table S4–S6, Figure S6), and for the ease of use in case of external validation by other groups, we also calculated an alternative version of our score where donor characteristics are pooled through the DRI [19], rather than by individual predictors. Statistical analyses were performed using *cmprsk* for R version 3.0.1 (R-Foundation for Statistical Computing, Vienna, Austria), and Stata[®] 15 (StataCorp, College Station, Texas, USA).

Results

Patient characteristics in the American Scientific Registry of Transplant Recipients

The SRTR study population comprised 14 516 patients, divided in a development ($n = 10\,887$) and an internal validation set ($n = 3\,629$, Figure S1). In the whole SRTR dataset, there were 77% of males, and the median (interquartile range [IQR]) recipient age was 59 years (54–63). The median total tumor diameter, AFP level, and DRI were 2.5 cm (1.1–3.6), 10 ng/ml (5–36), and 1.82 (1.56–2.18), respectively. Note that as expected, shared livers were associated with prolonged cold ischemia (mean \pm standard deviation: 7.65 h \pm 2.97 vs. 6.37 h \pm 2.86, $P < 0.001$). The median (IQR) length of follow-up was 48.1 months (24.4–82.7). Baseline characteristics were similar in patients allocated to the development and internal validation sets (Table 1). Overall survival rates (95% CI) at one, three, and five years were respectively 92.6% (92.1–93), 82% (81.3–82.6), and 74.1% (73.2–74.9). Corresponding graft survival rates were 92.4% (91.9–92.8), 81.1% (80.5–81.8), and 72.7% (71.9–73.5). At the same time points, tumor recurrence was present in 2.2% (1.9–2.4), 5.6% (5.2–6.1), and 7.7% (7.2–8.3) of the population (Figure S2).

Derivation and internal validation of the predictive score

To rule out the potential bias that marginal grafts may be selected for patients with more advanced tumors, we looked for an association between the distribution of categories of donor characteristics through strata of patients with distinct tumor characteristics (Table S1). We found no evidence that the approach of using sub-optimal grafts in recipients with more advanced tumors was reflected in the present dataset.

Table 1. Characteristics of the study population

	SRTR Development cohort	SRTR Internal validation cohort	ANZLITR, external validation cohort
Recipient age, years	59 (54–63)	59 (54–63)	56 (52–59)
Recipient gender (M:F)	8 439:2 448	2 807:822	323:47
Recipient BMI, kg/m ²	27.8 (24.8–31.5)	27.8 (24.7–31.5)	26.7 (24.7–29.5)
Underlying liver disease (%)			
HCV	6 506 (59)	2 173 (60)	219 (59)
Alcohol	985 (9)	316 (9)	40 (11)
NAFLD/NASH	642 (6)	220 (6)	14 (4)
HBV infection	657 (6)	220 (6)	65 (18)
Cryptogenic cirrhosis	292 (3)	111 (3)	8 (2)
Primary Biliary Cirrhosis	110 (1)	31 (1)	3 (1)
Autoimmune	109 (1)	40 (1)	4 (1)
Other	1 586 (15)	518 (14)	16 (4)
Tumor characteristics			
AFP blood level, ng/ml	10 (5–35)	10 (5–37)	9.2 (4–28)
No. of tumor nodules*	1.31 (±0.61)	1.33 (±0.63)	2.35 (±1.62)
Total tumor diameter, cm	2.5 (1.1–3.6)	2.5 (1.2–3.7)	3 (1.7–4.1)
Donor characteristics			
Age, years	44 (28–55)	44 (28–55)	48.5 (34–60)
BMI, kg/m ²	26.5 (23.2–30.6)	26.5 (23.2–30.6)	25.8 (23.5–30)
Graft cold ischemia time, h	6.2 (5–8)	6.2 (5–8)	6.6 (5.2–8.7)
Organ was shared, %	2 298 (21.1)	790 (21.8)	45 (12.2)

Data show median ± interquartile range, unless specified.

AFP, alpha-feto protein ; BMI, body mass index; HBV, hepatitis B virus; HCV, hepatitis C virus; NAFLD, non-alcoholic fatty liver disease; NASH; non-alcoholic steatohepatitis.

*indicates mean ± standard deviation.

As a preliminary assessment of the impact of donor characteristics on posttransplant HCC recurrence, we used two composite variables (the DRI and the OPTN criterion for expanded donor) that aggregate multiple data on donor quality. Using this approach, we found that patients receiving a liver graft displaying an elevated DRI, or that was procured from a donor meeting the OPTN criterion for being qualified as expanded had a significantly increased risk of tumor recurrence compared to patients receiving leaner livers (Figure S3). To further dissect this result, we evaluated the impact of single donor characteristics on the outcome of tumor recurrence. Univariable analysis indicated that donor age, donor BMI, donor cause of death, history of donor diabetes, and graft sharing were associated with tumor recurrence (Table 2). Other variables such as donation after cardiac death, donor smoking history, hypertension, history of cocaine use, blood group, and need for inotropic support were not associated with the outcome.

For HCC characteristics, by categorizing total tumor diameter at two cut-off values (<3 cm vs. ≥ 3 cm to

<4 cm] vs. $[\geq 4$ cm]), we identified three groups of patients with statistically different 5-year tumor recurrence and overall survival rates (Figure S4a). Similarly, for blood level of AFP, when we used the validated cut-off value of 400 ng/ml [9], patients displayed significantly different posttransplant recurrence rates (Figure S4b). Note that in the current dataset, the model for end-stage liver disease (MELD) score (sub-HR = 0.99 [0.99–1.01], $P = 0.976$), recipient immunosuppression type (sub-HR=1.38 [0.60–3.5], $P = 0.392$) and waitlist time (sub-HR 0.99 [0.99–1.016, $P = 0.275$) were not associated with the outcome.

Next, we ran a multivariable, competing-risk regression and we retained the predictors that were significantly and independently associated with tumor recurrence: \log_{10} AFP, total tumor diameter, recipient gender, donor BMI, and remote organ procurement (Table 3). The Darlica score was derived from this model by calculating the natural logarithm of the estimated coefficient and by summing points attributed to each clinical condition, according to the following formula:

Table 2. Univariable analysis of the impact of donor-related characteristics on the risk of post-transplant tumor recurrence.

	Sub-Hazard Ratio (95% CI)	P value
Donor age \geq 60 years	1.26 (1.04–1.53)	0.040
Donor BMI (kg/m ²)		
<30	Ref	
30–34.99	1.11 (0.96–1.28)	0.174
\geq 35	1.37 (1.02–1.84)	0.035
Donor cause of death (stroke vs. other)	1.14 (1.00–1.29)	0.043
History of donor diabetes	1.21 (1.01–1.45)	0.036
Liver graft was shared	1.29 (1.12–1.49)	0.001
Donor meets criteria to be an expanded donor	1.17 (1.02–1.35)	0.021
Donor Risk Index	1.18 (1.03–1.36)	0.021
DCD	0.97 (0.74–1.27)	0.825
Donor tobacco smoking \geq 20 pack-year	1.05 (0.91–1.21)	0.479
Donor history of hypertension	1.06 (0.93–1.20)	0.403
Donor history of cocaine use	1.06 (0.84–1.35)	0.616
Donor inotropic support	0.99 (0.88–1.13)	0.987
Donor blood group		
A	Ref	
AB	1.26 (0.82–1.94)	0.290
B	0.88 (0.72–1.08)	0.215
O	0.93 (0.82–1.07)	0.324

BMI, Body mass index; DCD, donation after cardiac death.

Significance level was set at the 0.05 level (In bold).

DARLICA score

$$\begin{aligned}
 &= 0.361 \text{ if Recipient is a Male} \\
 &+ 0.209 \text{ if Total Tumor Diameter } \geq 3 \text{ \& } < 4 \text{ cm} \\
 &+ 0.418 \text{ if Total Tumor Diameter } \geq 4 \text{ cm} \\
 &+ 0.241x \text{ Log}_e \text{AFP (ng/ml)} \\
 &+ 0.198 \text{ if Liver Graft is Shared} \\
 &+ 0.081 \text{ if Donor Body Mass Index } \geq 30 \text{ \& } < 35 \text{ kg/m}^2 \\
 &+ 0.234 \text{ if Donor Body Mass Index } \geq 35 \text{ kg/m}^2
 \end{aligned}$$

The hazard ratio for tumor recurrence associated with unit increments in score values was sub-HR 2.72 [2.41–3.08] $P < 0.001$. Figure S5 shows the frequency distribution of the score values within the development cohort. As estimated by the score, the 5-year recurrence rate was 4.1% (3.3–5.0) in patients scoring less than 0.8, versus 12.1% (10.9–13.4) in patients scoring 1.4 or more ($P < 0.001$, Table S2). The 5-year overall survival rates in the same risk categories were respectively 81.4% (79.7–83.1) and 64.5% (62.7–66.5), $P < 0.001$. The Wolbers's c -statistic for the 5-year prediction of tumor recurrence was 0.64. Harrell's c -statistic for overall survival was 0.66 (0.64–0.69). Figure 1 illustrates the rates of HCC recurrence and overall survival after stratifying the population in quartiles of the score.

To internally validate the present results, we recalculated the score for each patient in SRTR subcohort ($n = 3\ 629$), and we computed tumor recurrence and overall survival rates, as indicated by the attributed values. Results were similar to the development cohort (Fig. 1, Table S2), both in terms of the impact of the score on the outcome (sub-HR 2.49, 1.99–3.12, $P < 0.001$), and of the c -statistics of the model (tumor recurrence: Wolbers's $c = 0.63$, overall survival: Harrell's $c = 0.67$, 0.62–0.72). Table S3 exemplifies variations in the risk of tumor recurrence according to selected donor–recipient combinations (from the less hazardous to the most hazardous donor–recipient pair). Table S7 indicates the c -statistics of other scores that are currently in circulation, these were recalculated in the current dataset. Finally, to further translate our results in a user-friendly format, a visual scorecard was designed, where navigation through relevant donor–recipient combinations allows estimating the corresponding 5-year tumor recurrence rates (Fig. 2).

Characteristics of patients in the Australia and New Zealand Liver and Intestinal Transplant Registry and external validation of the Darlica score

We used data of 370 patients undergoing liver transplantation for HCC in Australia and New Zealand

Table 3. Multivariable competing-risk regression analysis.

	Sub-Hazard ratio (95%CI)	<i>P</i> value
Total tumor diameter		
<3 cm	Ref	
3–4 cm	1.23 (1.02–1.49)	0.028
≥ 4 cm	1.52 (1.28–1.81)	<0.001
Log AFP (ng/ml, per unit increase)	1.27 (1.23–1.32)	<0.001
Recipient gender (male)	1.43 (1.18–1.74)	<0.001
Donor age (years)		
≥60	1.19 (0.97–1.56)	0.124
Donor BMI (kg/m ²)		
<30	Ref	
30–34.99	1.08 (0.90–1.31)	0.410
≥35	1.26 (1.01–1.58)	0.037
Donor cause of death (stroke vs. other)	1.04 (0.89–1.21)	0.620
History of donor diabetes	1.16 (0.93–1.45)	0.190
Liver graft was shared	1.20 (1.01–1.43)	0.020

Calculated sub-hazard ratios go along with 95% confidence intervals.

AFP, Alpha-feto protein; BMI, body mass index.

Significance level was set at the 0.05 level (In bold).

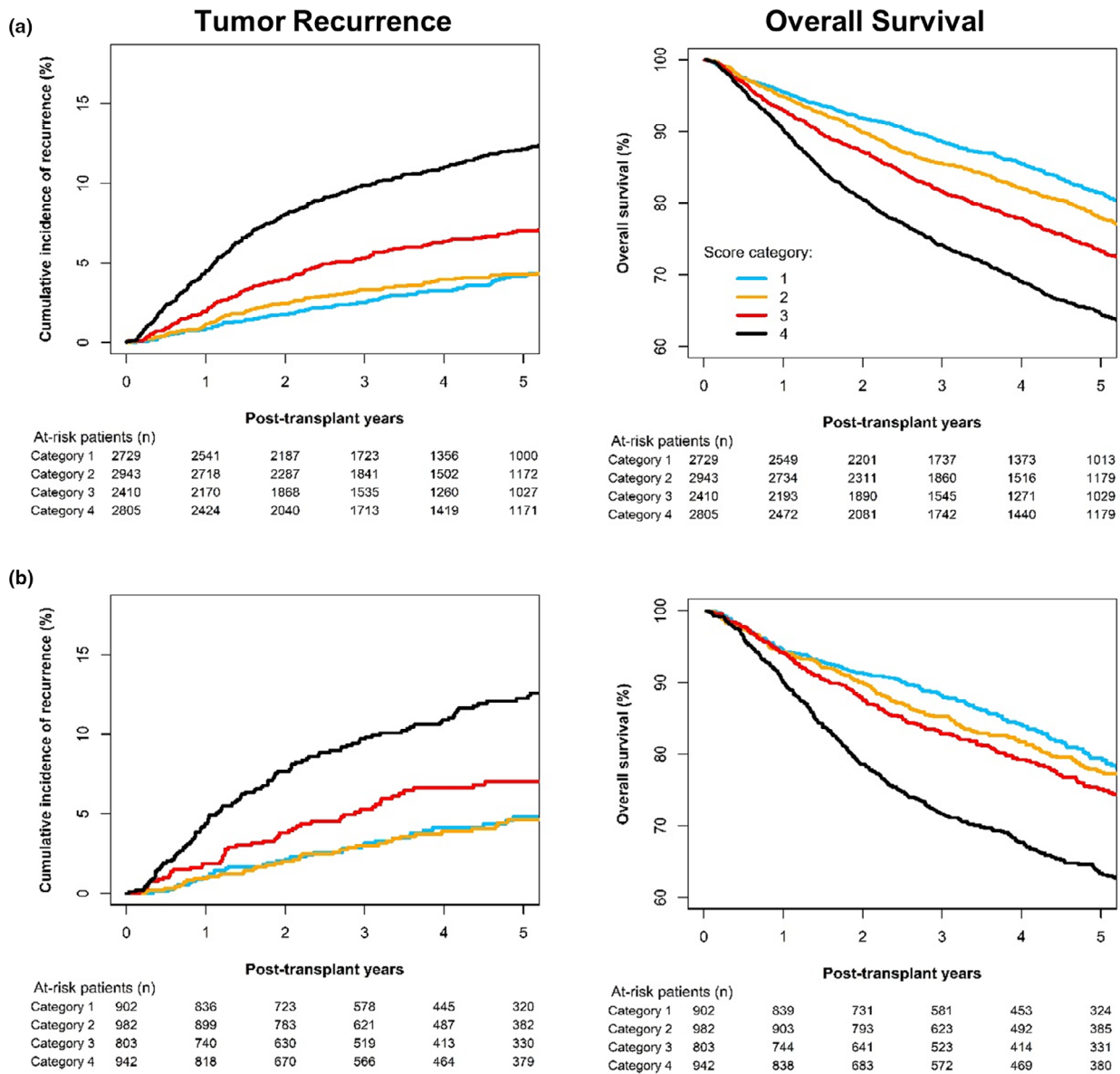
between 2004 and 2015, and for whom the ANZLITR possessed complete information, including in terms of tumor recurrence. The median age was 56 years (52–59), and 87% of patients were males. Patients in the ANZLITR displayed $n = 2$ (1–3) nodules on average, with a median total tumor diameter of 3 cm (1.7–1), and an AFP level of 9.2 ng/ml (4–28) (Table 1). As compared to the SRTR, there were more patients with chronic hepatitis B in the ANZLITR, predominantly in the Asian immigrant population and in the Maori population in New Zealand. Of note, the ANZLITR stopped using the Milan criteria and adopted UCSF criteria in 2007, which may explain the higher number of nodules and greater tumor diameter in this cohort. Median donor BMI was 25.8 kg/m² (23.5–30), and 45 (12.2%) liver grafts were shared. Overall survival rates in the ANZLITR at 1, 3, and 5 years were 92.7% (89.5–94.9), 84.3% (80.2–87.6), and 78.9% (74.5–82.8). Corresponding tumor recurrence rates were 4.3% (2.6–7.1), 9.4% (6.8–13.1), and 12.3% (9.2–16.4), respectively. Using the coefficients obtained from the competing-risk regression in the SRTR, we calculated values of the score in each observation in the ANZLITR. When transposing our risk-scoring tool to this cohort, the subhazard ratio for tumor recurrence associated with the score (continuous scale) was 1.90 (1.06–3.42), $P = 0.032$. Wolbers's *c*-

statistic was 0.57. When looking at overall survival, Harrell's *c*-statistic was 0.59 (0.58–0.62). Because of limited sample size, we assigned classification of risk according to the score into two categories of patients: those at low- and high-risk of tumor recurrence (Figure S8). The 5-year tumor recurrence rate in these two risk groups were respectively 8.5% (5.1–13.9) and 16.3% (11.5–22.9) ($P = 0.036$), corresponding to a 52% lower probability of tumor relapse in the low-risk group compared to the high-risk group.

Discussion

Here, we have derived and validated a risk-scoring tool that informs decision-making when allocating liver grafts for patients with HCC. This score combines, for the first time, tumor- and donor-related characteristics, and uses five variables (donor BMI, remote graft procurement, total tumor diameter, AFP, and patient gender) that are readily available at the time of an organ offer. The score allows distinguishing between categories of graft–recipient combinations carrying an incremental risk of posttransplant tumor recurrence.

Among the variables that compose the current score, it is noteworthy that AFP had the strongest impact. This finding is consistent with many studies published over the last decade that showed AFP to be an important factor to account for when evaluating the risk of postliver transplant HCC recurrence [8,9,23–29]. By dynamically assessing AFP while on the waitlist, Vibert *et al.* reported that a 15 ng/ml increase in AFP per month was a strong determinant of poor overall- and disease-free survival after liver transplantation for HCC [27]. A simpler approach of assessing AFP consists of looking at this marker in a static manner. Yet, finding an optimal cut-off remains a matter of debate. Evidence gathered from an international, multicenter, prospective study indicated that, in centers with at least 8-month waiting time, patients with a tumor burden beyond the Milan criteria but matching the total tumor volume/AFP criterion (TTV; ≤ 115 cm³)/alpha-fetoprotein (AFP; ≤ 400 ng/ml) achieved satisfactory outcomes in terms of tumor recurrence and overall survival [9]. Furthermore, the French-AFP study indicated that for patients beyond the Milan criteria, a static AFP value of 100 ng/ml or less was associated with low risk of recurrence and 5-year survival rates of nearly 70% [8]. In contrast, patients within the Milan criteria but with an AFP value greater than 1000 ng/ml displayed high risk of recurrence and markedly reduced survival. More recently, the Metroticket 2.0 study [17] further improved risk



Cumulative risk of post-transplant tumor recurrence (left panels) and overall survival (right panels), stratified by risk group (quartile of the calculated risk score).

(A) Development cohort (n=10,887). (B) Validation cohort (n=3,629).

Data are derived from competing-risk regression analysis.

- Score category 1 (blue)=Darlica score <0.8
- Score category 2 (orange)=Darlica score ≥ 0.8 & <1.1
- Score category 3 (red)=Darlica score ≥ 1.1 & <1.4
- Score category 4 (black)=Darlica score ≥ 1.4

Figure 1 Cumulative risk of posttransplant tumor recurrence (left panels) and overall survival (right panels), stratified by risk group (quartile of the calculated risk score). (a) Development cohort (n = 10 887). (b) Internal validation cohort (n = 3 629). Data are derived from competing-risk regression analysis for the analysis of tumor recurrence, and Kaplan-Meier for overall survival. Score category 1 (blue) = Darlica score < 0.8. Score category 2 (orange) = Darlica score ≥ 0.8 and <1.1. Score category 3 (red) = Darlica score ≥ 1.1 and <1.4. Score category 4 (black) = Darlica score ≥ 1.4.

Darlica Score

Prediction of Post-Transplant HCC recurrence

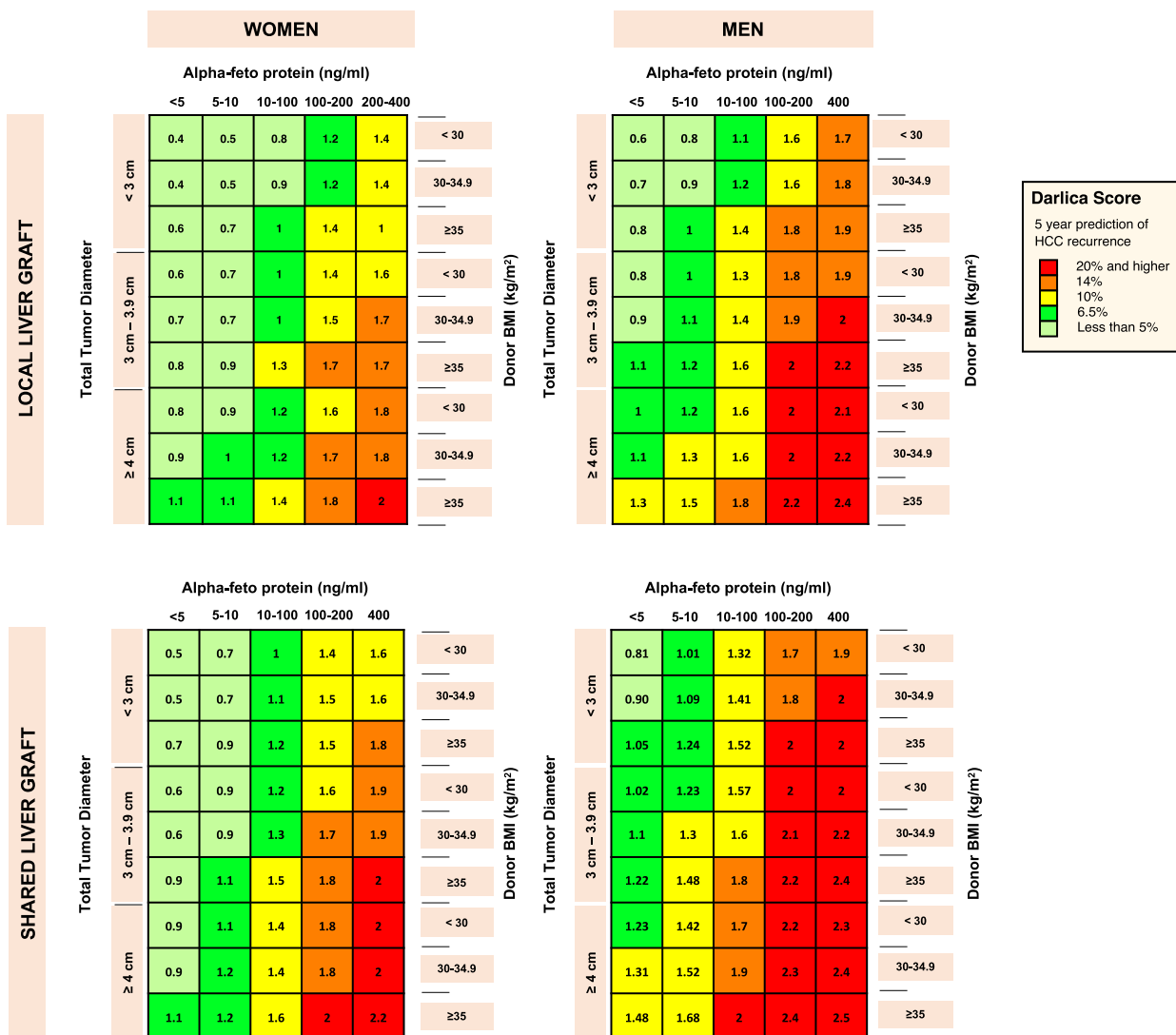


Figure 2 User-friendly scorecard of the Darlica Score, allowing a quick assessment of the 5 year risk of posttransplant tumor recurrence. Women (left panels), men (right panels). The risk of tumor recurrence is summarized by a color heatmap, with corresponding rates depicted in the upper-right insert. Risk-categories were derived from combination of each of the relevant variables (recipient sex, recipient alpha-feto protein level, total tumor diameter, use of a shared liver graft, and donor body mass index), according to the score formula*. 5-year probabilities of tumor recurrence were then calculated for each patient based on calculated score values. Body mass index (BMI), hepatocellular carcinoma (HCC). Data are derived from competing-risk regression analysis. *Calculation: DARLICA score = [0.361 if recipient is a male] + [0.209 if total tumor diameter ≥ 3 & <4 cm] + [0.418 if total tumor diameter ≥ 4 cm] + [0.241 × log₁₀ afp (ng/ml)] + [0.198 if liver graft is shared] + [0.081 if donor body mass index ≥ 30 & <35 kg/m²] + [0.234 if donor body mass index ≥ 35 kg/m²].

estimation by proposing a model that takes into account not only tumor burden and alpha-fetoprotein value but also preliver transplant downstaging treatments. Using this approach, Mazzaferro and colleagues constructed a prediction tool with the greatest discriminatory capacity ever achieved as of today.

The score presented in this study may contribute to a change in clinical reasoning in the practice of allocating

liver grafts, which currently consists of interpreting the risk of tumor recurrence by looking only at the recipients' tumor characteristics. Indeed, beyond tumor size and AFP level, we show that marginal grafts portend an additional negative impact on the probability of tumor relapse. In the situation where a high-risk combination of donor and recipient characteristics is identified, clinicians may consider declining the organ offer, and let

the graft being allocated to another (potentially non-HCC bearing) candidate on the waiting list. Pending further prospective validations, including in patients from other continents than North America and Oceania, the current approach could theoretically contribute in optimizing graft allocation, by minimizing the “waste” of liver grafts that portend an increased risk of tumor recurrence. This is of particular relevance to the context of liver transplantation, where the use of marginal grafts has been shown to yield satisfactory outcomes in selected end-stage liver disease patients [30]. Of note, in this study, the MELD score (and the variables which it is based on) did not have an impact on the risk of tumor recurrence, a finding that contrasts with other recent evidence [31,32].

Several mechanisms may explain how donor/liver graft characteristics may impact on posttransplant tumor recurrence. First, marginal livers, which are prone to surgical stress and ischemia-reperfusion, display upregulated expression of genes associated with vasculogenesis and cell proliferation, thereby enhancing the implantation and proliferation of circulating tumor cells [33–35]. Second, evidence indicates that the formation of neutrophil extracellular traps in the injured liver promotes the expansion of circulating cancer cells in the liver sinusoid, thereby facilitating the growth of tumor foci [36]. Third, the shear stress prevailing in the liver sinusoid during the reperfusion phase provokes mechanical damage to the capillary barrier, favoring the implantation of circulating cancer cells [37]. In this regard, recent advances in the field of ex-vivo organ preservation (either in the short- or the long-term) [38,39] provide an appealing opportunity to rescue damaged liver grafts. Such an approach could prove beneficial not only to recover organs that would otherwise be discarded, but also notably in the current oncological context.

Our study has several strengths. First, its conceptual novelty, our score being the first HCC-prediction tool that incorporates both tumor- and donor-related characteristics. Second, the length of follow-up (median 48.1 months (IQR 24.4–82.7)), allowing us to identify late tumor recurrences, which are not uncommon [40]. Third, our study is based on a very large sample size, data were gathered from prospectively acquired continental databases, and results were externally validated. Fourth, our statistical modeling was constructed using a multivariable competing-risk regression. This approach allowed overcoming methodological pitfalls that commonly hamper the interpretation of survival analyses in the field of oncology [41]. Finally, our interest in

designing this score relies both on biological and epidemiological evidence linking graft characteristics and tumor recurrence [11,42–45].

Rather than attempting at making obsolete other selection criteria that are routinely used, we consider the current score as an attempt at elaborating on the concept of incorporating graft quality in our understanding of the risk of posttransplant recurrence. In this regard, our model is mostly relevant to those situations where the candidate’s prognosis is dictated by the tumor itself rather than the underlying liver disease. For instance, a patient with a single tumor nodule but with decompensated liver cirrhosis should not be restricted from receiving liver transplantation, even with a liver graft procured from a marginal donor because in this case, short-term mortality is portended by liver failure and not by the tumor. In contrast, one could speculate that a patient with multiple small nodules that remain stable while on the waiting list may be wrongfully put at risk of tumor recurrence if he or she is offered a liver graft of poor quality. Such a patient could benefit more from spending additional time on the waiting list and being eventually offered a lower risk liver graft. With these observations in mind, the consideration of giving better quality organs to patients with more advanced HCC should be carefully weighed, notably in light of the principles of equity and equality.

Our study also has several shortcomings. First, the retrospective nature of the analysis makes our results prone to some selection bias and therefore the current score should not be recommended for clinical use. For instance, graft allocation policy in North America is a dynamic process, and a registry-based analysis may tend to analyze data in a fixed manner, missing some trends in how liver grafts are allocated, and how exception points are distributed to patients with HCC. Second, for the 5-year prediction of tumor recurrence, the Wolbers’s *c*-statistic of our model was 0.64 (Harrell’s *c* for survival=0.66 [0.64–0.69]), a value that may be considered a drawback by itself. The *c*-statistics in the ANZLITR cohort was even lower, further hindering practical application of our score. A list of previously published scores and their respective discriminatory statistics can be found in Table S7. Values of these scores were recalculated in the current cohort. The Metroticket 2.0 study reported a moderately higher discrimination capacity of 0.72 (0.65–0.79) [17]. But when we transposed the Metroticket 2.0 the present SRTR-based population, we found a *c*-statistic of 0.63 (0.61–0.65). Another famous score, the French AFP model [8] reported a *c*-statistic 0.67 (0.61–0.73). Upon application

of this model to the current dataset, the c-statistic was of 0.61 (0.59–0.63). The recalculated c-statistics corresponding to the Hazard Associated with Liver Transplantation for HCC (HALT) score [46] were of 0.63 (0.61–0.66). Finally, the predictive power of the Milan and the TTV/AFP [9] criteria were markedly lower (Table S7).

Another limitation to this study is that we could not evaluate downstaging therapies [17,47] that patients may have received while on the waiting list, due to the limited granularity of these variables in the SRTR dataset. Along this line, we cannot exclude that the calculation of tumor size may have ignored nonvital areas of previously ablated nodules. Fourth, we did not assess dynamic changes of AFP, and this approach may have missed some clinically relevant changes in the patient's oncological status (such as successful or failing bridging therapy). However, evidence does not uniformly support this assumption [17] and a previous SRTR-based study has shown that using the last pretransplant AFP value was the best alternative [18]. Finally, we categorized total tumor diameter and donor BMI. Although this strategy was applied to make the score more clinically relevant and user-friendly, the use of continuous variables may provide more complete information in multivariable statistical modeling [48].

In conclusion, we have designed the first score that aggregates graft- and tumor-related factors and that predicts the risk of tumor recurrence after liver transplantation for patients with HCC. This score offers a practical and intuitive tool combining a limited number of variables that are readily available at the time of organ offer. While the use of this tool could be of interest to identify high risk situations, the clinical relevance of the current approach needs to be further addressed. For instance, by evaluating whether avoiding risky donor–recipient pairs truly contributes to an improvement in graft allocation policy, including in terms of the ethical principles that form the basis of organ transplantation.

Authorship

LAO, GO and CT: designed the study, analyzed the data, and wrote the manuscript. CC and MF did statistical analysis, designed the figures and tables, and provided critical intellectual input in constructing the manuscript. PC, AA and TB: designed the study, wrote the first draft of the manuscript, and provided critical intellectual input in constructing the manuscript.

Funding

This study was supported by the Swiss National Science Foundation (323530_151477, PP00P3_139021), the Geneva Cancer League (1509), the Minkoff Foundation, the Artères Foundation, and the Insuleman Foundation. The sources of funding had no role in study design, data collection, analysis, interpretation, or writing of the report. Authors had full access to the data and had final responsibility for the decision to submit for publication.

Conflicts of interest

The authors have no conflict of interest to disclose.

Acknowledgements

The authors would like to thank the Swiss National Science Foundation), the Geneva Cancer League, the Minkoff Foundation, the Artères Foundation, and the Insuleman Foundation for their support.

SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

Figure S1. Study flow chart.

Figure S2. Cumulative risk of posttransplant tumor recurrence (left panels) and overall survival (right panels), in the whole cohort.

Figure S3. Cumulative risk of posttransplant tumor recurrence according to composite variables informing on donor quality.

Figure S4. Cumulative risk of posttransplant tumor recurrence stratified by categories of the assessed tumor-related variable.

Figure S5. Frequency distribution of the Darlica score in the development cohort.

Figure S6. Alternative version of the score, based on the Donor Risk Index (DRI).

Figure S7. Graft survival, stratifying the population by risk categories (quartile of the calculated Darlica score).

Figure S8. External validation in the Australia and New Zealand Liver and Intestinal Transplant Registry (ANZLITR, $n = 370$).

Table S1. Donor characteristics (as assessed by history of donor diabetes, donor age and donor body mass index) are evenly distributed through strata of patients with increasingly elevated tumor burden (as assessed by α -fetoprotein and total tumor diameter).

Table S2. Cumulative risk of posttransplant tumor recurrence stratified by risk group (quartile of the calculated risk score).

Table S3. Representative situations where the Darlica Score may help identifying hazardous donor-recipient combinations.

Table S4. Multivariable competing-risk regression, using the donor risk index (DRI) as a surrogate for donor quality.

Table S5. Five-year recurrence rates, as calculated by the alternative version of the score, using the donor risk index (DRI) as a surrogate for donor quality.

Table S6. Five-year overall survival rates, as calculated by the alternative version of the score, using the donor risk index (DRI) as a surrogate for donor quality.

Table S7. C-statistics of other commonly used scores and models predicting posttransplant outcomes of patients receiving liver transplantation for hepatocellular carcinoma.

Methods S1. Data operationalization and definition of tumor recurrence within the Scientific Registry of Transplant Recipients.

Data S1. R-code delineating steps for calculating the Darlica score.

REFERENCES

- Kim WR, Lake JR, Smith JM, *et al.* OPTN/SRTR 2017 annual data report: liver. *Am J Transplant* 2019; **19**(Suppl 2): 184.
- Sapisochin G, Goldaracena N, Laurence JM, *et al.* The extended Toronto criteria for liver transplantation in patients with hepatocellular carcinoma: a prospective validation study. *Hepatology* 2016; **64**: 2077.
- Mazzaferro V, Regalia E, Doci R, *et al.* Liver transplantation for the treatment of small hepatocellular carcinomas in patients with cirrhosis. *N Engl J Med* 1996; **334**: 693.
- Mazzaferro V, Llovet JM, Miceli R, *et al.* Predicting survival after liver transplantation in patients with hepatocellular carcinoma beyond the Milan criteria: a retrospective, exploratory analysis. *Lancet Oncol* 2009; **10**: 35.
- Mehta N, Heimbach J, Harnois DM, *et al.* Validation of a Risk estimation of tumor recurrence after transplant (RETREAT) score for hepatocellular carcinoma recurrence after liver transplant. *JAMA Oncol* 2017; **3**: 493.
- Costentin CE, Bababekov YJ, Zhu AX, Yeh H. Is it time to reconsider the milan criteria for selecting patients with hepatocellular carcinoma for deceased-donor liver transplantation? *Hepatology* 2019; **69**: 1324.
- Grat M, Stypulkowski J, Morawski M, *et al.* Shadows behind using simple risk models in selection of hepatocellular carcinoma patients for liver transplantation. *Ann Surg* 2020; **271**: 1124.
- Duvoux C, Roudot-Thoraval F, Decaens T, *et al.* Liver transplantation for hepatocellular carcinoma: a model including alpha-fetoprotein improves the performance of Milan criteria. *Gastroenterology* 2012; **143**: 986-94 e3; quiz e14-5.
- Toso C, Meeberg G, Hernandez-Alejandro R, *et al.* Total tumor volume and alpha-fetoprotein for selection of transplant candidates with hepatocellular carcinoma: a prospective validation. *Hepatology* 2015; **62**: 158.
- Lee JH, Cho Y, Kim HY, *et al.* Serum tumor markers provide refined prognostication in selecting liver transplantation candidate for hepatocellular carcinoma patients beyond the Milan criteria. *Ann Surg* 2016; **263**: 842.
- Nagai S, Yoshida A, Facciuto M, *et al.* Ischemia time impacts recurrence of hepatocellular carcinoma after liver transplantation. *Hepatology* 2015; **61**: 895.
- Wallace D, Walker K, Charman S, *et al.* Assessing the impact of suboptimal donor characteristics on mortality after liver transplantation: a time-dependent analysis comparing HCC with Non-HCC patients. *Transplantation* 2019; **103**: e89.
- Orci LA, Berney T, Majno PE, *et al.* Donor characteristics and risk of hepatocellular carcinoma recurrence after liver transplantation. *Br J Surg* 2015; **102**: 1250.
- Orci LA, Lacotte S, Delaune V, *et al.* Effects of the gut-liver axis on ischaemia-mediated hepatocellular carcinoma recurrence in the mouse liver. *J Hepatol* 2018; **68**: 978.
- Vodkin I, Kuo A. Extended criteria donors in liver transplantation. *Clin Liver Dis* 2017; **21**: 289.
- Liang W, Wu L, Ling X, *et al.* Living donor liver transplantation versus deceased donor liver transplantation for hepatocellular carcinoma: a meta-analysis. *Liver Transpl* 2012; **18**: 1226.
- Mazzaferro V, Sposito C, Zhou J, *et al.* Metroticket 2.0 model for analysis of competing risks of death after liver transplantation for hepatocellular carcinoma. *Gastroenterology* 2018; **154**: 128.
- Merani S, Majno P, Kneteman NM, *et al.* The impact of waiting list alpha-fetoprotein changes on the outcome of liver transplant for hepatocellular carcinoma. *J Hepatol* 2011; **55**: 814.
- Feng S, Goodrich NP, Bragg-Gresham JL, *et al.* Characteristics associated with liver graft failure: the concept of a donor risk index. *Am J Transplant* 2006; **6**: 783.
- Metzger RA, Delmonico FL, Feng S, Port FK, Wynn JJ, Merion RM. Expanded criteria donors for kidney transplantation. *Am J Transplant* 2003; **3**(Suppl 4): 114.
- Samoylova ML, Dodge JL, Vittinghoff E, Yao FY, Roberts JP. Validating post-transplant hepatocellular carcinoma recurrence data in the United Network for Organ Sharing database. *Liver Transpl* 2013; **19**: 1318.
- Wolbers M, Blanche P, Koller MT, Witteman JC, Gerds TA. Concordance for prognostic models with competing risks. *Biostatistics* 2014; **15**: 526.
- Lai Q, Avolio AW, Graziadei I, *et al.* Alpha-fetoprotein and modified response evaluation criteria in solid tumors progression after locoregional therapy as predictors of hepatocellular cancer recurrence and death after transplantation. *Liver Transpl* 2013; **19**: 1108.
- Lai Q, Avolio AW, Manzia TM, *et al.* Combination of biological and morphological parameters for the selection of patients with hepatocellular carcinoma waiting for liver transplantation. *Clin Transplant* 2012; **26**: E125.
- Lai Q, Avolio AW, Manzia TM, *et al.* Role of alpha-fetoprotein in selection of patients with hepatocellular

- carcinoma waiting for liver transplantation: must we reconsider it? *Int J Biol Markers* 2011; **26**: 153.
26. Toso C, Asthana S, Bigam DL, Shapiro AM, Kneteman NM. Reassessing selection criteria prior to liver transplantation for hepatocellular carcinoma utilizing the Scientific Registry of Transplant Recipients database. *Hepatology* 2009; **49**: 832.
 27. Vibert E, Azoulay D, Hoti E, et al. Progression of alphafetoprotein before liver transplantation for hepatocellular carcinoma in cirrhotic patients: a critical factor. *Am J Transplant* 2010; **10**: 129.
 28. Lai Q, Nicolini D, Inostroza Nunez M, et al. A novel prognostic index in patients with hepatocellular cancer waiting for liver transplantation: Time-Radiological-response-Alpha-fetoprotein-INflammation (TRAIN) Score. *Ann Surg* 2016; **264**: 787.
 29. Halazun KJ, Najjar M, Abdelmessih RM, et al. Recurrence after liver transplantation for hepatocellular carcinoma: a new MORAL to the story. *Ann Surg* 2017; **265**: 557.
 30. Halazun KJ, Quillin RC, Rosenblatt R, et al. Expanding the margins: high volume utilization of marginal liver grafts among >2000 liver transplants at a single institution. *Ann Surg* 2017; **266**: 441.
 31. Firl DJ, Sasaki K, Agopian VG, et al. Charting the path forward for risk prediction in liver transplant for hepatocellular carcinoma: international validation of HALTHCC among 4,089 patients. *Hepatology* 2020; **71**: 569.
 32. Goldberg D, Mantero A, Newcomb C, et al. Predicting survival after liver transplantation in patients with hepatocellular carcinoma using the LiTES-HCC score. *J Hepatol* 2021; **74**: 1398.
 33. Orci LA, Lacotte S, Oldani G, et al. Effect of ischaemic preconditioning on recurrence of hepatocellular carcinoma in an experimental model of liver steatosis. *Br J Surg* 2016; **103**: 417.
 34. Tsuchiya Y, Sawada S, Yoshioka I, et al. Increased surgical stress promotes tumor metastasis. *Surgery* 2003; **133**: 547.
 35. van der Bilt JD, Kranenburg O, Nijkamp MW, et al. Ischemia/reperfusion accelerates the outgrowth of hepatic micrometastases in a highly standardized murine model. *Hepatology* 2005; **42**: 165.
 36. Tohme S, Yazdani HO, Al-Khafaji AB, et al. Neutrophil extracellular traps promote the development and progression of liver metastases after surgical stress. *Cancer Res* 2016; **76**: 1367.
 37. Man K, Ng KT, Lo CM, et al. Ischemia-reperfusion of small liver remnant promotes liver tumor growth and metastases—activation of cell invasion and migration pathways. *Liver Transpl* 2007; **13**: 1669.
 38. Eshmuminov D, Becker D, Bautista Borrego L, et al. An integrated perfusion machine preserves injured human livers for 1 week. *Nat Biotechnol* 2020; **38**: 189.
 39. Muller X, Schlegel A, Kron P, et al. Novel real-time prediction of liver graft function during hypothermic oxygenated machine perfusion before liver transplantation. *Ann Surg* 2019; **270**: 783.
 40. Hong SK, Lee KW, Yoon KC, et al. Different prognostic factors and strategies for early and late recurrence after adult living donor liver transplantation for hepatocellular carcinoma. *Clin Transplant* 2019; **33**: e13703.
 41. Dutz A, Lock S. Competing risks in survival data analysis. *Radiother Oncol* 2019; **130**: 185.
 42. Grat M, Krawczyk M, Wronka KM, et al. Ischemia-reperfusion injury and the risk of hepatocellular carcinoma recurrence after deceased donor liver transplantation. *Sci Rep* 2018; **8**: 8935.
 43. Kornberg A, Witt U, Kornberg J, Friess H, Thrum K. Treating ischaemia-reperfusion injury with prostaglandin E1 reduces the risk of early hepatocellular carcinoma recurrence following liver transplantation. *Aliment Pharmacol Ther* 2015; **42**: 1101.
 44. Li CX, Man K, Lo CM. The impact of liver graft injury on cancer recurrence posttransplantation. *Transplantation* 2017; **101**: 2665.
 45. Yang F, Zhang Y, Ren H, et al. Ischemia reperfusion injury promotes recurrence of hepatocellular carcinoma in fatty liver via ALOX12-12HETE-GPR31 signaling axis. *J Exp Clin Cancer Res* 2019; **38**: 489.
 46. Sasaki K, Firl DJ, Hashimoto K, et al. Development and validation of the HALT-HCC score to predict mortality in liver transplant recipients with hepatocellular carcinoma: a retrospective cohort analysis. *Lancet Gastroenterol Hepatol* 2017; **2**: 595.
 47. Agopian VG, Harlander-Locke MP, Ruiz RM, et al. Impact of pretransplant bridging locoregional therapy for patients with hepatocellular carcinoma within Milan criteria undergoing liver transplantation: analysis of 3601 patients from the US Multicenter HCC Transplant Consortium. *Ann Surg* 2017; **266**: 525.
 48. Altman DG, Royston P. The cost of dichotomising continuous variables. *BMJ* 2006; **332**: 1080.